#### LICENSE NO. J-6160

IN THE MATTER OF
THE LICENSE OF
ALAN T. LLOYD, M.D.

**BEFORE THE** 

TEXAS MEDICAL BOARD

# AGREED ORDER

On the 6th day of February, 2009, came on to be heard before the Texas Medical Board (the "Board"), duly in session, the matter of the license of Alan T. Lloyd, M.D. ("Respondent").

On October 13, 2008, Respondent failed to appear at an Informal Show Compliance Proceeding and Settlement Conference in response to a letter of invitation from the staff of the Board. The Board's representatives were Michael Arambula, M.D., a member of the Board, and David Baucom, a member of a District Review Committee. Ursula Keen, J.D., represented Board staff.

Upon the recommendation of the Board's representatives and with the consent of Respondent, the Board makes the following Findings of Fact and Conclusions of Law and enters this Agreed Order.

# **FINDINGS OF FACT**

The Board finds that:

- 1. Respondent received all notice required by law. All jurisdictional requirements have been satisfied. Respondent waives any defect in notice and any further right to notice or hearing under the Medical Practice Act, Title 3, Subtitle B, Texas Occupations Code (the "Act") or the Rules of the Board.
- 2. Respondent currently holds Texas Medical License No. J-6160. Respondent was originally issued this license to practice medicine in Texas on August 20, 1994. Respondent is not licensed to practice in any other state.

- 3. Respondent is primarily engaged in the practice of psychiatry. Respondent is board certified by the Bureau of Osteopathic Specialists in psychiatry.
  - 4. Respondent is 48 years of age.
- 5. Previously, Respondent has not been the subject of a disciplinary order from the Board.
- 6. In January 2003, Respondent accepted the referral of patient JM following JM's seven-day hospitalization due to depression and suicidal ideation.
- 7. In February 2003, as JM's psychiatrist, Respondent began psychotherapy twice per week with JM, and continued to treat JM for the next four years. Although the exact date is not known, during Respondent's treatment of JM, Respondent began an inappropriate sexual relationship with JM.
- 8. During Respondent's treatment of JM, Respondent prescribed inappropriate prescriptions of dangerous drugs or controlled substances to JM when there was a close personal relationship, and which prescriptions were not therapeutic or medically indicated according to Respondent's medical record of patient JM.
  - 9. Currently, Respondent is living with JM.
- 10. There is no documentation that the physician-patient relationship was terminated at any time.
- 11. To date, Respondent denies engaging in a sexual relationship with JM while Respondent was her psychiatrist.
- 12. Respondent received written notice of the October 13, 2008, Informal Settlement Conference ("ISC"), but refused to attend.
- 13. As a Mental Health Service Provider Respondent's actions regarding JM violate a law of this state that is connected with Respondent's practice of medicine, to wit, Chapter 81 of the Texas Civil Practice and Remedies Code, Sections 73.001 to 101, regarding Sexual Exploitation By Mental Health Services Provider.
- 14. Respondent has cooperated in the investigation of the allegations related to this Agreed Order. Respondent's cooperation, through consent to this Agreed Order, pursuant to the provisions of Section 164.002 the Act, will save money and resources for the State of Texas. To avoid further investigation, hearings, and the expense and inconvenience of litigation, Respondent agrees to the entry of this Agreed Order and to comply with its terms and conditions.

### **CONCLUSIONS OF LAW**

Based on the above Findings of Fact, the Board concludes that:

- 1. The Board has jurisdiction over the subject matter and Respondent pursuant to the Act.
- 2. Section 164.051(a)(6) of the Act authorizes the Board to take disciplinary action against Respondent based on Respondent's failure to practice medicine in an acceptable professional manner consistent with public health and welfare.
- 3. Section 164.052(a)(5) of the Act authorizes the Board to take disciplinary action against Respondent based upon Respondent's unprofessional or dishonorable conduct that is likely to deceive or defraud the public or injure the public.
- 4. Sections 164.052(a)(5) and 164.053(a)(1) of the Act authorize the Board to take disciplinary action against Respondent based on Respondent's commission of an act that violates a law of this state that is connected with Respondent's practice of medicine, to wit, Chapter 81 of the Texas Civil Practice and Remedies Code, Sections 73.001 to 101, regarding Sexual Exploitation By Mental Health Services Provider.
- 5. Section 164.001 of the Act authorizes the Board to impose a range of disciplinary actions against a person for violation of the Act or a Board rule. Such sanctions include: revocation, suspension, probation, public reprimand, limitation or restriction on practice, counseling or treatment, required educational or counseling programs, monitored practice, public service, and an administrative penalty.
- 6. Section 164.002(a) of the Act authorizes the Board to resolve and make a disposition of this matter through an Agreed Order.
- 7. Section 164.002(d) of the Act provides that this Agreed Order is a settlement agreement under the Texas Rules of Evidence for purposes of civil litigation.

#### **ORDER**

Based on the above Findings of Fact and Conclusions of Law, the Board ORDERS that Respondent shall be subject to the following terms and conditions:

- 1. This Agreed Order shall constitute a PUBLIC REPRIMAND of Respondent, and Respondent is hereby reprimanded.
- 2. Respondent shall pay an administrative penalty in the amount of \$10,000 within 90 days of the date of the entry of this Order. The administrative penalty shall be paid in a single payment by cashier's check or money order payable to the Texas Medical Board and shall be submitted to the Board for routing so as to be remitted to the Comptroller of Texas for deposit in the general revenue fund. Respondent's failure to pay the administrative penalty as ordered shall constitute grounds for further disciplinary action by the Board, and may result in a referral by the Executive Director of the Board for collection by the Office of the Attorney General.
- 3. Within one year, from the date of the entry of this Order, Respondent shall enroll in and successfully complete the professional boundaries course offered by Vanderbilt Medical Center for Professional Health or the similar course offered by the University of California San Diego Physician Assessment and Clinical Education (PACE) program or an equivalent course approved in advance by the Executive Director. To obtain approval for a course other than the Vanderbilt or PACE courses, Respondent shall submit in writing to the Executive Director for the Board information on the course that includes description of the course content, faculty, course location, and dates of instruction. Respondent shall submit documentation of attendance and successful completion of this requirement to the Board on or before the expiration of the time limit set forth for completion of the course. This CME requirement is in addition to the CME required for license renewal.
- 4. Within one year following the date of the entry of this Order, Respondent shall take and pass, with a score of 75 or above, the Medical Jurisprudence Examination ("JP Exam") given by the Texas Medical Board. Respondent is allowed three attempts to successfully pass this examination.

Respondent's failure to take and pass the JP Exam within three attempts within one year following the entry of this Order shall constitute a violation of this Agreed Order. After a committee of the Board or a panel of Board representatives ("Board Representatives"), has considered the information related to Respondent's violation of this provision and has determined that Respondent has not fulfilled the requirements of this provision, Respondent's medical license shall be immediately SUSPENDED pursuant to correspondence to Respondent from the Executive Director or Secretary-Treasurer of the Board indicating that Board

Representatives have considered the information related to Respondent's violation of this provision and have determined that Respondent has not fulfilled the requirements of this provision. Although Respondent shall be invited to provide information or testimony to the Board Representatives, Respondent specifically waives any administrative due process under the Medical Practice Act, or the Administrative Procedure Act, for the Board Representatives to consider this information. THIS SUSPENSION SHALL BE EFFECTIVE WITHOUT THE NEED FOR A HEARING AT THE STATE OFFICE OF ADMINISTRATIVE HEARINGS OR OTHER ADMINISTRATIVE DUE PROCESS UNDER THE MEDICAL PRACTICE ACT OR THE ADMINISTRATIVE **PROCEDURE** ACT, AND RESPONDENT SPECIFICALLY WAIVES ANY SUCH HEARING OR DUE PROCESS AND ALL RIGHTS OF APPEAL. Respondent shall be notified of any suspension by certified mail, return receipt requested to Respondent's last known address on file with the Board. If Respondent's license is suspended on such a basis, the suspension shall remain in effect until such time as Respondent takes and passes the JP Exam and subsequently appears before the Board in person and provides sufficient evidence which, in the discretion of the Board, is adequate to show that Respondent possesses the skills and knowledge to safely practice in Texas and is otherwise physically and mentally competent to resume the practice in this state.

- 5. The time period of this Order shall be extended for any period of time that (a) Respondent subsequently resides or practices outside the State of Texas, (b) Respondent's license is subsequently canceled for nonpayment of licensure fees, or (c) this Order is stayed or enjoined by Court Order. If Respondent leaves Texas to live or practice elsewhere, Respondent shall immediately notify the Board in writing of the dates of Respondent's departure from and subsequent return to Texas. When the period of extension ends, Respondent shall be required to comply with the terms of this Order for the period of time remaining on the extended Order. Respondent shall pay all fees for reinstatement or renewal of a license covering the period of extension.
- 6. Respondent shall comply with all the provisions of the Act and other statutes regulating the Respondent's practice.
- 7. Respondent shall fully cooperate with the Board and the Board staff, including Board attorneys, investigators, compliance officers, consultants, and other employees or agents of the Board in any way involved in investigation, review, or monitoring associated with

Respondent's compliance with this Order. Failure to fully cooperate shall constitute a violation of this order and a basis for disciplinary action against Respondent pursuant to the Act.

- 8. Respondent shall inform the Board in writing of any change of Respondent's mailing or practice address within 10 days of the address change. This information shall be submitted to the Permits Department and the Director of Enforcement for the Board. Failure to provide such information in a timely manner shall constitute a basis for disciplinary action by the Board against Respondent pursuant to the Act.
- 9. Any violation of the terms, conditions, or requirements of this Order by Respondent shall constitute unprofessional conduct likely to deceive or defraud the public, or to injure the public, and shall constitute a basis for disciplinary action by the Board against Respondent pursuant to the Act. Respondent agrees that 10 days notice of a Probationer Show Compliance Proceeding to address any allegation of non-compliance of this Agreed Order is adequate and reasonable notice prior to the initiation of formal disciplinary action. Respondent waives the 30-day notice requirement provided by §164.003(b)(2) of the Medical Practice Act and agrees to 10 days notice, as provided in 22 Texas Administrative Code §187.44(4).
- 10. Upon sufficient evidence submitted to the Board of Respondent's successful completion of Ordering Paragraphs Nos. 2, 3, and 4, this Order will terminate.

RESPONDENT WAIVES ANY FURTHER HEARINGS OR APPEALS TO THE BOARD OR TO ANY COURT IN REGARD TO ALL TERMS AND CONDITIONS OF THIS AGREED ORDER. RESPONDENT AGREES THAT THIS IS A FINAL ORDER.

THIS ORDER IS A PUBLIC RECORD.

I, ALAN T. LLOYD, M.D., HAVE READ AND UNDERSTAND THE FOREGOING AGREED ORDER. I UNDERSTAND THAT BY SIGNING, I WAIVE CERTAIN RIGHTS. I SIGN IT VOLUNTARILY. I UNDERSTAND THIS AGREED ORDER CONTAINS THE ENTIRE AGREEMENT AND THERE IS NO OTHER AGREEMENT OF ANY KIND, VERBAL, WRITTEN OR OTHERWISE.

DATED: <u>Vanuary 15</u>, 2009.

ALAN T. LLOYD M.D

Signature of Notary Public

Respondent

STATE OF July

COUNTY OF 1

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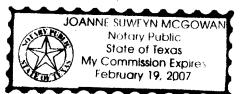
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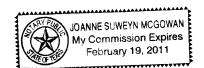
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(Notary Seal)





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	SIGNED	AND	<b>ENTERED</b>	by	the presiding	officer	of 1	the	Texas	Medical	Board	on	this
64h	day of _	Fæ	bruary		, 2009.								*****
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Irvin E. Zeitler, Jr., D.O., President Texas Medical Board