

BEFORE THE MINNESOTA

BOARD OF MEDICAL PRACTICE

In the Matter of the Medical License of Kristen D. Sutley, M.D. Year of Birth: 1978 License Number: 54,996

STIPULATION AND ORDER FOR STAYED SUSPENSION

IT IS HEREBY STIPULATED AND AGREED, by and between Kristen Deann Sutley, M.D. ("Respondent"), and the Complaint Review Committee ("Committee") of the Minnesota Board of Medical Practice ("Board") as follows:

- 1. During all times herein, Respondent has been and now is subject to the jurisdiction of the Board from which she holds a license to practice medicine and surgery in the State of Minnesota.
- 2. Respondent has been advised by Board representatives that she may choose to be represented by legal counsel in this matter. Respondent has chosen to be represented by Marit M. Sivertson, Law Office of Sivertson and Barrette, P.A., 1465 Arcade Street, St. Paul, Minnesota 55106, (651) 964-6301. The Committee was represented by Daniel S. Schueppert, Assistant Attorney General, 445 Minnesota Street, Suite 1400, St. Paul, Minnesota 55101, (651) 757-1205.

FACTS

- 3. For the purpose of this Stipulation, the Board may consider the following facts as true:
- a. Respondent was licensed by the Board to practice medicine and surgery in the State of Minnesota on March 10, 2012. Respondent is board certified in psychiatry.
- b. In April 2018, the Board received a report stating that a third-party referred Respondent for a substance use evaluation and Respondent failed to comply.

- c. In September 2019, the Board received a report stating that Respondent demonstrated impairment and behaved inappropriately in a patient care environment.
- d. In response to the reports, the Board initiated an investigation into Respondent's conduct. The investigation revealed that, in March 2018, Respondent used multiple substances, lost consciousness, and fell on stairs causing injuries that required hospitalization. At the hospital, Respondent had a blood alcohol level over 0.3 and was diagnosed with withdrawal. Additionally, in September 2019, Respondent used multiple substances, including a mixture of prescribed and over the counter medications, immediately prior to a work shift. During the work shift, Respondent was incoherent, off-balance, and inappropriate and confrontational with colleagues and a police officer. Respondent was relieved of her duties and admitted to the emergency department with a health officer hold. Respondent refused to submit to toxicology screening and medical care. On the following day, Respondent's supervisor accepted Respondent's resignation. Respondent did not report her September 2019 conduct to the Board.
- e. On November 15, 2018 and September 24, 2020, Respondent met with the Committee to discuss the reports. When discussing the incident that occurred in March 2018, Respondent stated that she used multiple substances, including more than the prescribed dose of a medication. When discussing the incident that occurred in September 2019, Respondent stated that she would have gone to treat patients at the hospital, if her colleagues would not have intervened. Respondent acknowledged that she was not competent to treat patients at the time.
- f. On November 7, 2020, Respondent was arrested for gross misdemeanor driving while impaired refusal to submit to chemical testing. Due to her arrest, Respondent failed to appear for her scheduled work shift the following day.

STATUTES

4. The Committee views Respondent's practices as inappropriate in such a way as to require Board action under Minn. Stat. § 147.091, subd. 1(g) (engaged in unethical or improper conduct), (k) (engaged in conduct that departs from or fails to conform to the minimal standards of acceptable and prevailing medical practice), (l) (inability to practice medicine due to illness), and (u) (failed to make reports to the Board as required). Respondent agrees that the conduct cited above constitutes a reasonable basis in law and fact to justify the disciplinary action under these statutes.

REMEDY

- 5. Upon this Stipulation and all of the files, records, and proceedings herein, Respondent does hereby consent that the Board may make and enter an Order SUSPENDING Respondent's license to practice medicine and surgery in the State of Minnesota, but the suspension is STAYED contingent upon Respondent's compliance with the following terms and conditions:
 - a. Respondent is REPRIMANDED.
- b. Respondent shall participate in the Health Professionals Services Program ("HPSP") and fully comply with all terms and conditions of her HPSP Participation Agreement. Respondent shall sign releases allowing HPSP to provide a copy of her Participation Agreement and all compliance and treatment data to the Board. A violation of her HPSP Participation Agreement will constitute a violation of this Order.
- c. Within three months of the date of the Order, Respondent shall complete a comprehensive substance use and mental health evaluation by an evaluator preapproved by the Committee. Within ten days of notification of approval, Respondent shall schedule the

evaluation. Respondent permits the Board to share private data with the evaluator. When the evaluation is complete, Respondent shall provide the evaluation to HPSP immediately.

- d. Respondent shall sign releases allowing the Board to obtain medical, mental health, and chemical dependency records from any treating professional, evaluator, or facility.
- e. Respondent may petition for reinstatement of an unconditional license no sooner than four years from the date of this Order. Upon petitioning, she shall submit evidence satisfactory to the Board, of a minimum of four years of documented, uninterrupted recovery and mental health stability commencing no sooner than the date of this Order. Upon hearing Respondent's petition, the Complaint Review Committee may recommend that the Board continue, modify, or remove the suspension or impose conditions and restrictions as deemed necessary.
- 6. Within ten days of signing the Stipulation to this Order, Respondent shall provide the Board with a list of all hospitals and skilled nursing facilities at which Respondent currently has medical privileges, a list of all states in which Respondent is licensed or has applied for licensure, and the addresses and telephone numbers of Respondent's residences and all work sites. Within seven (7) days of any change, Respondent shall provide the Board with new address and telephone number information. The information shall be sent to the Executive Director, Minnesota Board of Medical Practice, University Park Plaza, 2829 University Avenue S.E., Suite 500, Minneapolis, Minnesota 55414-3246.
- 7. In the event Respondent resides or practices outside the State of Minnesota, Respondent shall promptly notify the Board in writing of the location of her residence and all work sites. Periods of residency or practice outside of Minnesota will not be credited toward any period of Respondent's suspended, limited, or conditioned license in Minnesota unless

Respondent demonstrates that practice in another state conforms completely with Respondent's Minnesota license to practice medicine.

- 8. If the Complaint Review Committee has probable cause to believe that Respondent has failed to comply with any of the requirements for staying the suspension of her license as set forth in paragraph 5 above, or has failed to comply with the HPSP Participation Agreement, the Complaint Review Committee may remove the stay of suspension and suspend Respondent's license pursuant to the procedures outlined below:
- a. The removal of the stayed suspension shall take effect upon service of an Order of Removal of Stayed Suspension ("Order of Removal"). Respondent agrees that the Complaint Review Committee is authorized to issue an Order of Removal, which shall remain in effect and shall have the full force and effect of an order of the Board until the Board makes a final determination pursuant to the procedures outlined in paragraph 9 below, or until the suspension is dismissed and the order is rescinded by the Complaint Review Committee. The Order of Removal shall confirm the Complaint Review Committee has probable cause to believe Respondent has failed to comply with or has violated one or more of the requirements for staying the suspension of Respondent's license.
- b. Respondent further agrees an Order of Removal issued pursuant to this paragraph shall be deemed a public document under the Minnesota Government Data Practices Act. Respondent waives any right to a hearing before removal of the stayed suspension.
- c. The Complaint Review Committee shall schedule a hearing before the Board pursuant to paragraph 9 below to be held within 60 days of service of the Order of Removal.
- 9. If the Complaint Review Committee issues an Order of Removal pursuant to paragraph 8 above, the following shall apply:

- a. The Complaint Review Committee shall mail Respondent a notice of the violation alleged by the Complaint Review Committee and of the time and place of the hearing referred to in paragraph 8.c. above. Respondent shall submit a response to the allegations at least three days prior to the hearing. If Respondent does not submit a timely response to the Board, the allegations may be deemed admitted.
- b. At the hearing before the Board, the Complaint Review Committee and Respondent may submit affidavits made on personal knowledge and argument based on the record in support of their positions. The evidentiary record before the Board shall be limited to such affidavits and this Stipulation and Order. Respondent waives a hearing before an administrative law judge and waives discovery, cross-examination of witnesses, and other procedures governing administrative hearings or civil trials.
- c. At the hearing, the Board will determine whether to impose additional disciplinary action, including additional conditions or limitations on Respondent's practice, or revocation of Respondent's license.
- d. The Complaint Review Committee, at its discretion, may schedule a conference with Respondent prior to the hearing before the Board to discuss the allegations and to attempt to resolve the allegations through agreement.
- 10. In the event the Board in its discretion does not approve this settlement, this Stipulation is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any disciplinary action by either party hereto except that Respondent agrees that should the Board reject this Stipulation and if this case proceeds to hearing, Respondent will assert no claim that the Board was prejudiced by its review and discussion of this Stipulation or of any records relating hereto.

- Respondent may be entitled by Minnesota or United States constitutions, statutes, or rules and agrees that the Order to be entered pursuant to the Stipulation shall be the final Order herein.
- 12. Respondent hereby acknowledges that she has read and understands this Stipulation and has voluntarily entered into the Stipulation without threat or promise by the Board or any of its members, employees, or agents. This Stipulation contains the entire agreement between the parties, there being no other agreement of any kind, verbal or otherwise, which varies the terms of this Stipulation.

Dated: 11/24/20

Kristen D. Sutley, M.D.

Respondent

Dated

For the Committee

ORDER

Upon consideration of this Stipulation and all the files, records, and proceedings herein,

IT IS HEREBY ORDERED that the terms of this Stipulation are adopted and implemented by

the Board this 9th day of January 2021.

MINNESOTA BOARD OF MEDICAL PRACTICE

Bv.