## **BEFORE THE MINNESOTA**

### **BOARD OF MEDICAL PRACTICE**

In the Matter of the Medical License of Alex Uspensky, M.D. Year of Birth: 1944 License Number: 28,060

## STIPULATION AND ORDER

TRUE AND EXACT COPY OF ORIGINAL

IT IS HEREBY STIPULATED AND AGREED, by and between Alex Uspensky, M.D. ("Respondent"), and the Complaint Review Committee ("Committee") of the Minnesota Board of Medical Practice ("Board") as follows:

1. During all times herein, Respondent has been and now is subject to the jurisdiction of the Board from which he holds a license to practice medicine and surgery in the State of Minnesota.

2. Respondent has been advised by Board representatives that he may choose to be represented by legal counsel in this matter. Although aware of this opportunity, Respondent has elected not to be represented by counsel. The Committee was represented by Brian L. Williams, Assistant Attorney General, 1400 Bremer Tower, 445 Minnesota Street, St. Paul, Minnesota 55101, telephone (651) 296-7575.

# FACTS

3. For the purpose of this Stipulation, the Board may consider the following facts as true:

a. Respondent was licensed by the Board to practice medicine and surgery in the State of Minnesota on August 8, 1993. Respondent is a psychiatrist in private practice. b. In May 2016, the Board received a complaint alleging that Respondent had borrowed a total of \$63,000 from a patient and had failed to pay the patient back.

c. On December 19, 2016, Respondent appeared before the Complaint Review Committee and acknowledged that he borrowed money from a patient and that his actions violated appropriate physician-patient boundaries.

## **STATUTES**

4. The Committee views Respondent's practices as inappropriate in such a way as to require Board action under Minn. Stat. § 147.091, subd. 1(g) (unethical conduct; conduct likely to deceive, defraud or harm the public, or demonstrating a willful or careless disregard for the health, welfare or safety of a patient) and (k) (unprofessional conduct) (2016), and Respondent agrees that the conduct cited above constitutes a reasonable basis in law and fact to justify the disciplinary action under these statutes.

#### REMEDY

5. Upon this Stipulation and all of the files, records, and proceedings herein, and without any further notice or hearing herein, Respondent does hereby consent that until further Order of the Board, made after notice and hearing upon application by Respondent or upon the Board's own motion, the Board may make and enter an Order conditioning Respondent's license to practice medicine and surgery in the State of Minnesota as follows:

a. Respondent is **REPRIMANDED**.

b. Respondent shall successfully complete a professional boundaries course, approved in advance by the Committee or its designee. Successful completion shall be determined by the Board or its designee and must be accomplished within one year of the date of this Order.

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c. Within six months following successful completion of the abovereferenced coursework, Respondent shall write and submit a paper, for review and approval by the Committee or its designee, discussing what he has learned from the coursework and how the knowledge will be incorporated into his practice.

d. Respondent may submit a written petition to the Board for reinstatement of an unconditional license upon completion of the terms of this Stipulation and Order. Upon hearing the petition, the Board may continue, modify, or remove the conditions set out herein.

6. Within ten days of signing the Stipulation to this Order, Respondent shall provide the Board with a list of all hospitals and skilled nursing facilities at which Respondent currently has medical privileges, a list of all states in which Respondent is licensed or has applied for licensure, and the addresses and telephone numbers of Respondent's residences and all work sites. Within seven days of any change, Respondent shall provide the Board with the new address and telephone information. The information shall be sent to Ruth M. Martinez, Minnesota Board of Medical Practice, University Park Plaza, 2829 University Avenue S.E., Suite 500, Minneapolis, Minnesota 55414-3246.

7. In the event Respondent resides or practices outside the State of Minnesota, Respondent shall promptly notify the Board in writing of the location of his residence and all work sites. Periods of residency or practice outside of Minnesota will not be credited toward any period of Respondent's suspended, limited, or conditioned license in Minnesota unless Respondent demonstrates that practice in another state conforms completely with Respondent's Minnesota license to practice medicine.

8. If Respondent shall fail, neglect, or refuse to fully comply with each of the terms, provisions, and conditions herein, including timely submission of required reports, the

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Committee shall schedule a hearing before the Board. The Committee shall mail Respondent a notice of the violation alleged by the Committee and of the time and place of the hearing. Respondent shall submit a response to the allegations at least three days prior to the hearing. If Respondent does not submit a timely response to the Board, the allegations may be deemed admitted.

At the hearing before the Board, the Committee and Respondent may submit affidavits made on personal knowledge and argument based on the record in support of their positions. The evidentiary record before the Board shall be limited to such affidavits and this Stipulation and Order. Respondent waives a hearing before an administrative law judge and waives discovery, cross-examination of adverse witnesses, and other procedures governing administrative hearings or civil trials.

At the hearing, the Board will determine whether to impose additional disciplinary action, including additional conditions or limitations on Respondent's practice, or suspension or revocation of Respondent's license.

9. In the event the Board in its discretion does not approve this settlement, this Stipulation is withdrawn and shall be of no evidentiary value and shall not be relied upon nor introduced in any disciplinary action by either party hereto except that Respondent agrees that should the Board reject this Stipulation and if this case proceeds to hearing, Respondent will assert no claim that the Board was prejudiced by its review and discussion of this Stipulation or of any records relating hereto.

10. Respondent waives any further hearings on this matter before the Board to which Respondent may be entitled by Minnesota or United States constitutions, statutes, or rules and agrees that the Order to be entered pursuant to the Stipulation shall be the final Order herein.

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11. Respondent hereby acknowledges that he has read and understands this Stipulation and has voluntarily entered into the Stipulation without threat or promise by the Board or any of its members, employees, or agents. This Stipulation contains the entire agreement between the parties, there being no other agreement of any kind, verbal or otherwise, which varies the terms of this Stipulation.

Dated: 03/24/2017	Dated: <u>5-13-17</u>
ALEX USPENSKY, M.D.	FOR THE COMMITTEE
Respondent	

#### ORDER

Upon consideration of this Stipulation and all the files, records, and proceedings herein,

IT IS HEREBY ORDERED that the terms of this Stipulation are adopted and implemented by the Board this  $\underline{134h}$  day of  $\underline{May}$ , 2017.

MINNESOTA BOARD OF MEDICAL PRACTICE

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## **AFFIDAVIT OF SERVICE BY U.S. MAIL**

# Re: In the Matter of the Medical License of Alex Uspensky, M.D. License No. 28,060

STATE OF MINNESOTA ) ) ss. COUNTY OF RAMSEY )

SANDRA SYLVESTER, being first duly sworn, deposes and says:

That at the City of St. Paul, County of Ramsey and State of Minnesota, on May 15, 2017, she caused to be served the attached STIPULATION AND ORDER, by depositing the same in the United States mail at said city and state, a true and correct copy thereof, properly enveloped with prepaid first class postage, and addressed to:

# PERSONAL & CONFIDENTIAL

Alex Uspensky, M.D. Bassett Creek Medical Building 5851 Duluth Street SE, #311 Golden Valley, MN 55422

Subscribed and sworn to before me on May 15, 2017.

