FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO NO. - 36 20 19 BY A. - ANALYST

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> BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

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In the Matter of the Accusation Against:

Case No. 800-2017-033993

ACCUSATION

15 | Theodore Avram Goodman, M.D.

P.O. Box 162866

Sacramento, CA 95816-2866

Physician's and Surgeon's Certificate No. G 35973,

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PARTIES

- 1. Christine J. Lally ("Complainant") brings this Accusation solely in her official capacity as the Interim Executive Director of the Medical Board of California, Department of Consumer Affairs ("Board").
- 2. On or about March 1, 1978, the Medical Board issued Physician's and Surgeon's Certificate Number G 35973 to Theodore Avram Goodman, M.D. ("Respondent"). That license was in full force and effect at all times relevant to the charges brought herein and will expire on January 31, 2020, unless renewed.

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JURISDICTION

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code ("Code") unless otherwise indicated.
- 4. Section 2227 of the Code provides in pertinent part that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
 - 5. Section 2234 of the Code states, in pertinent part:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

"(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.

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- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

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6. Section 2266 of the Code states, in pertinent part:

"The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

FIRST CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

- 7. Respondent's license is subject to disciplinary action under section 2234, subdivision (c), of the Code, in that he committed repeated negligent acts during and following the care and treatment of Patient A¹. The circumstances are as follows:
- 8. Respondent is a psychiatrist who works in private practice, in Sacramento, California. On or about May 4, 2017, Respondent met with Patient A to discuss potential Electroconvulsive therapy² (ECT) treatment. Patient A was a forty-five (45) year old woman, who was undergoing her eighteenth psychiatric hospitalization since 2012. Patient A had been diagnosed with major depression, borderline personality disorder, and post-traumatic stress disorder. Prior to meeting with Respondent, Patient A had been participating in an partial hospitalization program³ (PHP), at Sutter Hospital, in Sacramento, California, where she was referred to Respondent.
- 9. Between May 8, 2017, and May 22, 2017, Respondent completed five sessions of ECT on Patient A. Each session consisted of Respondent placing a right unilateral lead onto Patient A's head, followed by the administration of an electric current. The frequency of these treatments was twice per week. While Patient A was receiving treatment from Respondent, she was also involved in PHP; however, during this period, Respondent was Patient A's primary

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¹ Patient names and information have been removed. All witnesses will be identified in discovery.

²Electroconvulsive therapy is a medical treatment most commonly used in patients with severe major depression or bipolar disorder that has not responded to other treatments. ECT involves a brief electrical stimulation of the brain while the patient is under anesthesia.

³ Partial hospitalization is a type of program used to treat mental illness and substance abuse. In partial hospitalization, the patient continues to reside at home, but commutes to a treatment center up to seven days a week. Partial hospitalization focuses on the overall treatment of the individual, and is intended to avert or reduce in-patient hospitalization.

attending psychiatrist. While treating Patient A, Respondent tapered Patient A's Klonopin⁴ prescription to 0.25 mg by May 7, 2017. Respondent additionally placed Patient A on a regimen of doxazosin.⁵

- 10. On or about May 24, 2017, Patient A left the PHP program early, following a disagreement with a peer. Patient A—who has a history of self-harming behavior—started cutting herself, after she returned to her residence. A PHP therapist was concerned about Patient A's behavior, from earlier that day, and called her at home. During the telephone conversation, Patient A admitted that she had taken four tablets of clonidine⁶ and stated that she was "waiting for the strength to cut my neck," or words to that effect. Patient A was admitted to the hospital and placed on an involuntary hold.
- 11. During Patient A's hospitalization, Respondent was her attending physician. Between May 24, 2017, and May 30, 2017, Patient A remained involuntarily hospitalized. On or about May 30, 2017, Patient A asked if she could return to the PHP program. Respondent replied that at that moment the PHP program wanted him to treat her. In actuality, Patient A had been dismissed from the PHP program, due to her acting out behavior.
- 12. On or about May 31, 2017, Patient A admitted to Respondent that her cutting herself "represented both the desire to hurt herself, as well as the desire to kill herself," or words to that effect. Patient A refused additional ECT and demanded to be discharged immediately. Respondent continued to maintain the involuntary hold, and stated to Patient A that discharge was not safe, and that he could not, in good conscience, discharge her.

⁴ Clonazepam – Generic name for Klonopin. Clonazepam is an anti-anxiety medication in the benzodiazepine family used to prevent seizures, panic disorder and akathisia. Clonazepam is a Schedule IV controlled substance pursuant to Code of Federal Regulations Title 21 section 1308.14(c). It is a Schedule IV controlled substance pursuant to Health and Safety Code section 11057, subdivision (d), and a dangerous drug pursuant to Business and Professions Code section 4022.

⁵ Doxazosin – Generic name for Cardura, among others, is an alpha- blocker used to treat symptoms of an enlarged prostate and high blood pressure. It relaxes the veins and arteries so that blood can more easily pass through them. Doxazosin is a Dangerous Drug as defined by California Business and Professions Code section 4022.

⁶ Clonidine – Generic name for the drug Catapres among others, is a medication used to treat high blood pressure, attention deficit hyperactivity disorder, drug withdrawal (alcohol, opioids, or smoking), menopausal flushing, diarrhea, and certain pain conditions. It is used by mouth, by injection, or as a skin patch. Clonidine is a Dangerous Drug as defined by California Business and Professions Code section 4022.

- 13. On or about June 1, 2017, Respondent continued to maintain the involuntary hold on Patient A. In response, Patient A refused to meet with Respondent alone. She blamed the ECT treatments for causing to cut herself, and requested to be treated by another physician. Respondent replied that he did not feel comfortable discharging her home unless Patient A's husband participated in the discharge. During Patient A's in-patient hospitalization, Respondent did not contact, nor document contacting, Patient A's outpatient mental health therapists and primary psychiatrist during Patient A's hospitalization to establish continuity of care.
- 14. On or about June 2, 2017, Patient A's husband arrived at the hospital and agreed to take Patient A home. Respondent discharged Patient A that day and told her husband that she could resume ECT at any point. Patient A's discharge diagnosis was severe major depressive disorder, PTSD, and borderline personality disorder. Although Patient A had displayed suicidal tendencies, and had elevated risk factors for further decompensation and self-injury, Respondent placed the responsibility for Patient A's subsequent follow-up mental health treatment on her. Respondent included the following discharge instructions for Patient A: "Patient to coordinate appointments after completing ECT." Respondent failed to make appropriate referrals to outpatient treatment programs as part of Patient A's discharge to ensure that she received an adequate level of care. Additionally, Respondent's progress notes for Patient A did not indicate that he had discussed her childhood sexual abuse with her, while she was hospitalized.
- 15. Respondent's license is subject to disciplinary action because he committed repeated negligent acts during the care and treatment of Patient A in the following distinct and separate ways:
 - 1. Respondent failed to communicate with Patient A's outpatient mental health providers during her inpatient hospitalization course to establish continuity of care; and,
 - 2. Respondent failed to provide referrals for Patient A that would have ensured that she receive an adequate level of care upon discharge from her inpatient hospitalization.

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SECOND CAUSE FOR DISCIPLINE

(Failure to Maintain Adequate and Accurate Records)

16. Respondent's license is subject to disciplinary action under section 2266 of the Code, in that he failed to maintain adequate and accurate medical records relating to his care and treatment of Patient A, as more fully described in paragraphs 7 through 15, above, which are incorporated by reference as if fully set forth herein.

THIRD CAUSE FOR DISCIPLINE

(General Unprofessional Conduct)

17. Respondent's license is further subject to disciplinary action under sections 2227 and 2234, of the Code, in that he engaged in conduct which breached the rules or ethical codes of the medical profession, or conduct which was unbecoming of a member in good standing of the medical profession, and which demonstrated a general unfitness to practice medicine, as more particularly alleged in paragraphs 7 through 15, above, which are hereby realleged and incorporated by reference as if fully set forth herein.

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