

1 XAVIER BECERRA
Attorney General of California
2 ALEXANDRA M. ALVAREZ
Supervising Deputy Attorney General
3 ROSEMARY F. LUZON
Deputy Attorney General
4 State Bar No. 221544
600 West Broadway, Suite 1800
5 San Diego, CA 92101
P.O. Box 85266
6 San Diego, CA 92186-5266
Telephone: (619) 738-9074
7 Facsimile: (619) 645-2061

FILED
STATE OF CALIFORNIA
MEDICAL BOARD OF CALIFORNIA
SACRAMENTO *Feb. 22 20 19*
BY *[Signature]* ANALYST

8 *Attorneys for Complainant*

9
10 **BEFORE THE**
MEDICAL BOARD OF CALIFORNIA
11 **DEPARTMENT OF CONSUMER AFFAIRS**
12 **STATE OF CALIFORNIA**

13 In the Matter of the Accusation Against:

Case No. 800-2016-021067

14 **Yashwant S. Chaudhri, M.D.**
15 **4537 College Avenue**
San Diego, CA 92115

A C C U S A T I O N

16 **Physician's and Surgeon's Certificate**
17 **No. A 67679,**

18 Respondent.

19 Complainant alleges:

20 **PARTIES**

21 1. Kimberly Kirchmeyer (Complainant) brings this Accusation solely in her official
22 capacity as the Executive Director of the Medical Board of California, Department of Consumer
23 Affairs (Board).

24 2. On or about March 5, 1999, the Medical Board issued Physician's and Surgeon's
25 Certificate No. A 67679 to Yashwant S. Chaudhri, M.D. (Respondent). The Physician's and
26 Surgeon's Certificate was in full force and effect at all times relevant to the charges brought
27 herein and will expire on December 31, 2020, unless renewed.

28 ///

JURISDICTION

1
2 3. This Accusation is brought before the Board, under the authority of the following
3 laws. All section references are to the Business and Professions Code (Code) unless otherwise
4 indicated.

5 4. Section 2220 of the Code states:

6 “Except as otherwise provided by law, the board may take action against all
7 persons guilty of violating this chapter. . .”

8 5. Section 2227 of the Code states:

9 “(a) A licensee whose matter has been heard by an administrative law judge of
10 the Medical Quality Hearing Panel as designated in Section 11371 of the Government
11 Code, or whose default has been entered, and who is found guilty, or who has entered
12 into a stipulation for disciplinary action with the board, may, in accordance with the
13 provisions of this chapter:

14 “(1) Have his or her license revoked upon order of the board.

15 “(2) Have his or her right to practice suspended for a period not to exceed one
16 year upon order of the board.

17 “(3) Be placed on probation and be required to pay the costs of probation
18 monitoring upon order of the board.

19 “(4) Be publicly reprimanded by the board. The public reprimand may include
20 a requirement that the licensee complete relevant educational courses approved by the
21 board.

22 “(5) Have any other action taken in relation to discipline as part of an order of
23 probation, as the board or an administrative law judge may deem proper.

24 “. . .”

25 6. Section 2234 of the Code states:

26 “The board shall take action against any licensee who is charged with
27 unprofessional conduct. In addition to other provisions of this article, unprofessional
28 conduct includes, but is not limited to, the following:

1 “(a) Violating or attempting to violate, directly or indirectly, assisting in or
2 abetting the violation of, or conspiring to violate any provision of this chapter.

3 “(b) Gross negligence.

4 “(c) Repeated negligent acts. To be repeated, there must be two or more
5 negligent acts or omissions. An initial negligent act or omission followed by a
6 separate and distinct departure from the applicable standard of care shall constitute
7 repeated negligent acts.

8 “(1) An initial negligent diagnosis followed by an act or omission medically
9 appropriate for that negligent diagnosis of the patient shall constitute a single
10 negligent act.

11 “(2) When the standard of care requires a change in the diagnosis, act, or
12 omission that constitutes the negligent act described in paragraph (1), including, but
13 not limited to, a reevaluation of the diagnosis or a change in treatment, and the
14 licensee’s conduct departs from the applicable standard of care, each departure
15 constitutes a separate and distinct breach of the standard of care.

16 “...”

17 7. Unprofessional conduct under section 2234 of the Code is conduct which breaches
18 the rules or ethical code of the medical profession, or conduct which is unbecoming a member in
19 good standing of the medical profession, and which demonstrates an unfitness to practice
20 medicine. (*Shea v. Board of Medical Examiners* (1978) 81 Cal.App.3d 564, 575.)

21 8. Section 2266 of the Code states:

22 “The failure of a physician and surgeon to maintain adequate and accurate
23 records relating to the provision of services to their patients constitutes unprofessional
24 conduct.”

25 ///

26 ///

27 ///

28 ///

1 **FIRST CAUSE FOR DISCIPLINE**

2 **(Gross Negligence)**

3 9. Respondent has subjected his Physician's and Surgeon's Certificate No. A 67679 to
4 disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (b), of
5 the Code, in that he committed gross negligence in his care and treatment of Patient A, as more
6 particularly alleged hereinafter:¹

7 10. On or about June 22, 2013, Patient A went to Respondent's office in Santee,
8 California to commence outpatient psychiatric treatment. Patient A was seen by Respondent's
9 Nurse Practitioner, D.G.

10 11. According to the notes prepared by Nurse Practitioner D.G. for the June 22, 2013,
11 visit, Patient A had a history of depression and the purpose for the visit was medication
12 management. The notes also indicated that Patient A's primary care provider had prescribed a
13 six-month supply of psychiatric medications to Patient A, but he ran out of the medications 10
14 days earlier. Patient A's psychiatric medications included Risperdal (risperidone) 2 mg twice
15 daily,² Depakote (depakote divalproex sodium) 1500 mg once daily,³ and Paxil (paroxetine) 80
16 mg once daily.⁴ Patient A was noted as having a history of a psychiatric hospitalization three
17 years earlier, neurosyphilis, encephalitis, and use of cannabis since age 17, with the last use being
18 on or about June 21, 2013. Patient A was also noted as having a medical marijuana card. A
19 mental status examination was performed and the following psychiatric diagnoses were noted:
20 Axis I (Bipolar Disorder); Axis II (none); Axis III (history of encephalitis and neurosyphilis); and
21 Axis IV (psychosocial environmental stressors secondary to chronic mental illness). No Axis V
22 assessment was provided.

23 ///

24
25 ¹ References to "Patient A" herein are used to protect patient privacy.

26 ² Risperdal is an atypical antipsychotic medication that is used to treat certain
27 mental/mood disorders, such as schizophrenia and bipolar disorder.

28 ³ Depakote is an anticonvulsant medication that is used to treat the manic symptoms of
bipolar disorder.

⁴ Paxil is a medication that is used to treat depression, panic attacks, and anxiety disorders.

1 12. The treatment plan for the June 22, 2013, visit, was to continue with Patient A's
2 current medication regimen as follows: Risperdal 2 mg twice daily, Depakote 1500 mg once
3 daily, and Paxil 40 mg once daily. The notes did not include any explanation for reducing the
4 daily dosage of Paxil from 80 mg to 40 mg, nor did they document any discussion of this change
5 with Patient A. On or about June 23, 2013, Respondent signed Nurse Practitioner D.G.'s notes
6 for this visit and, next to his signature, Respondent handwrote the following statement:

7 "Reviewed assessment and agreed with the treatment plan."

8 13. On or about July 8, 2013, and August 5, 2013, respectively, Patient A had a follow-up
9 visit with Nurse Practitioner D.G. at Respondent's office. According to the notes prepared by
10 Nurse Practitioner D.G. for these visits, Patient A reported compliance with the medication
11 regimen with no side effects and improved sleeping and eating. A mental status examination was
12 performed and the same prior psychiatric diagnoses were noted. The treatment plan was to
13 continue with Patient A's current medication regimen, including Risperdal, Depakote, and Paxil,
14 along with psychoeducation on psychotropic medicines. Patient A was to return in four weeks.
15 On or about July 8, 2013, and August 5, 2013, respectively, both Nurse Practitioner D.G. and
16 Respondent signed the notes for these visits.

17 14. On or about September 4, 2013, Respondent saw Patient A at Respondent's office.
18 According to Respondent's notes, Patient A reported compliance with the medication regimen
19 with no side effects and improved sleeping and eating. In addition, Patient A expressed his desire
20 to continue with the same medications. A mental status examination was performed and the same
21 prior psychiatric diagnoses were noted, along with an Axis V Global Assessment of Functioning
22 (GAF) score of 54. The treatment plan was to continue with Patient A's current medication
23 regimen, however, only Risperdal and Paxil were listed. Depakote was not included on the list of
24 medications. Respondent did not note the reasons for stopping Depakote, nor did he document
25 any discussion of this change with Patient A.

26 15. On or about December 19, 2013, Patient A was seen at Respondent's office.
27 According to the notes for this visit, Patient A reported compliance with the medication regimen
28 with no side effects and improved sleeping and eating. However, Patient A reported that while he

1 continued to take Risperdal and Paxil, he discontinued Depakote on his own. The notes did not
2 document when Patient A stopped taking Depakote, the reasons for doing so, the risks of stopping
3 Depakote, or the need to change the treatment plan. The treatment plan was to continue with
4 Patient A's current medication regimen with respect to Risperdal and Paxil only. Due to Patient
5 A's extended trip to New Orleans, Patient A was to return in six months. The notes for this visit
6 were signed by Respondent on or about December 19, 2013.

7 16. On or about June 20, 2014, Patient A was again seen at Respondent's office.
8 According to the notes for this visit, Patient A reported compliance with the medication regimen
9 and improved sleeping and eating. The treatment plan was to continue with Patient A's current
10 medication regimen, which, in addition to Risperdal and Paxil, now included Depakote 1000 mg
11 daily. The notes did not document any discussion with Patient A regarding restarting Depakote,
12 nor did they document the clinical rationale for stopping and restarting the medication and
13 changing the dosage. Patient A was to return in two weeks. The notes for this visit were signed
14 by Respondent on or about June 20, 2014.

15 17. On or about July 9, 2014, Patient A had a follow-up visit at Respondent's office and
16 was seen by Respondent's Nurse Practitioner, B.J. According to the notes prepared by Nurse
17 Practitioner B.J. for this visit, Patient A's current medication regimen included Risperdal, Paxil,
18 and Depakote. The current medication regimen was discussed and the treatment plan was to
19 decrease the Risperdal dosage to 1 mg twice daily and continue Paxil and Depakote. In addition,
20 a laboratory test for Depakote levels was ordered for July 21, 2014. Patient A was to return on
21 July 23, 2014. The notes for this visit were signed by Nurse Practitioner B.J. and Respondent on
22 or about July 9, 2014.

23 18. On or about August 26, 2014, a request for refill of Patient A's Paxil medication was
24 faxed to Respondent's office. The request was not approved and included the following notation:
25 "Needs to see Doctor." The medical records, however, did not include any attempts by
26 Respondent's office to contact Patient A regarding the Paxil refills, to set up an appointment with
27 Patient A so that the medication could be refilled, or to otherwise advise Patient A that a follow-
28 up visit was necessary to continue the medication treatment.

1 19. On or about September 15, 2014, Patient A had a follow-up visit with Nurse
2 Practitioner D.G. at Respondent's office. According to the notes prepared by Nurse Practitioner
3 D.G. for this visit, Patient A reported that he discontinued Depakote on his own and had to stop
4 taking Paxil for the past two weeks because he ran out of refills and the pharmacy's refill request
5 was declined. The treatment plan was to continue Paxil, but increase Risperdal to 2 mg twice
6 daily and add Neurontin (gabapentin)⁵ and Cogentin (benztropine)⁶ to the medication regimen.
7 The notes did not document any discussion with Patient A regarding increasing the Risperdal
8 dosage or adding Neurontin and Cogentin, nor did they document the clinical rationale for these
9 medication changes. In addition, the notes did not document when Patient A stopped taking
10 Depakote, the reasons for doing so, the risks of stopping Depakote, or the need to change the
11 treatment plan. The notes for this visit were signed by Respondent on or about September 15,
12 2014. Respondent also added the following notation: "Patient referred to IOP, API."

13 20. On or about September 25, 2014, Patient A was admitted to the Alvarado Parkway
14 Institute Intensive Outpatient Program (IOP). Upon admission, Patient A reported a history of
15 substance abuse with marijuana, including daily use since age 17. Patient A also reported that the
16 marijuana was medically prescribed. On or about the same day, Respondent issued a telephone
17 order for Risperdal 1 mg twice daily and Depakote 1000 mg once daily, in addition to antibiotic
18 treatment. The telephone order was signed by Respondent on or about September 29, 2014. The
19 notes for this visit made no reference to Paxil, Neurontin, and Cogentin, which were previously
20 prescribed to Patient A on or about September 15, 2014, and they did not reflect any discussions
21 with Patient A regarding these medication changes.

22 21. On or about September 26, 2014, Patient A underwent a history and physical
23 examination performed by an IOP physician, Dr. F.J. Patient A was noted to be "off his
24 psychotropic medications[,]" with admitted alcohol drinking and daily use of marijuana.

25 ///

26 _____
27 ⁵ Neurontin is an anticonvulsant medication that is used with other medications to prevent
and control seizures and relieve postherpetic neuralgia.

28 ⁶ Cogentin is a medication that is used to treat symptoms of Parkinson's disease or
involuntary movements due to the side effects of certain psychiatric drugs.

1 22. On or about September 29, 2014, Respondent made a handwritten note stating that a
2 psychiatric evaluation was done. Respondent noted the following psychiatric diagnoses: Axis I
3 (bipolar I disorder, mixed, severe with no psychosis; cannabis dependence); Axis II (deferred);
4 Axis III (hyperlipidemia, neurosyphilis, GERD); Axis IV (blank); and Axis 5 (highest GAF in
5 past year: 52, current GAF: 30). Respondent did not include any information regarding
6 symptoms, impairments, medications, or treatment objectives for Patient A.

7 23. On or about September 30, 2014, an IOP Interdisciplinary Master Treatment Plan was
8 prepared by IOP physician, Dr. C.B. The Plan identified "Symptom Management" as the first
9 problem and a history of medication and treatment non-compliance by Patient A, including
10 attempts to adjust medications without psychiatrist consultation. The diagnoses were noted as
11 follows: Axis I (bipolar I disorder, most recent episode depressed); Axis II (deferred); Axis III
12 (neurosyphilis, hyperlipidemia, hypertriglyceridemia, GERD); Axis IV (severe, primary support
13 and social environment); Axis V (GAF: current 30).

14 24. On or about October 16, 2014, Patient A was seen by IOP physician, Dr. C.B. The
15 treatment plan was to continue Depakote and Risperdal as prescribed and refer Patient A back to
16 Respondent for any further interventions.

17 25. On or about October 28, 2014, Respondent dictated his Psychiatric Admission
18 Evaluation for Patient A. The note was not signed until on or about November 17, 2014.
19 Respondent summarized Patient A's clinical history and reason for admission. The psychiatric
20 diagnoses were noted as follows: Axis I (bipolar I disorder, mixed, severe without psychotic
21 features; cannabis abuse); Axis II (deferred); Axis III (neurosyphilis, hyperlipidemia,
22 gastroesophageal reflux disease); Axis IV (psychosocial and environmental stress secondary to
23 chronic mental illness); Axis 5 (GAF: on admission 28).

24 26. On or about October 29, 2014, the IOP Interdisciplinary Treatment Plan was updated
25 by IOP physician, Dr. B.S. The update noted that Patient A continued to use marijuana on a daily
26 basis and was sent home one day as a result of coming to the program under the influence of
27 marijuana.

28 ///

1 27. On or about November 17, 2014, Patient A was seen by Respondent. Patient A
2 reported medication compliance with no side effects, except for Depakote. The note stated that
3 Depakote would be discontinued. On or about the same day, Respondent ordered that Patient A's
4 Depakote be discontinued, however, he also issued a telephone order for three-month refills of
5 Risperdal 1 mg twice daily and Depakote 1000 mg once daily. The telephone order was signed
6 by Respondent on or about November 17, 2014. The note for this visit did not address the
7 October 29, 2014, updated treatment plan and its assessments regarding Patient A's daily
8 marijuana use, including his attendance at the program while under the influence of marijuana.

9 28. Between on or about September 25, 2014, and November 19, 2014, Patient A was
10 prescribed Risperdal 1 mg twice daily. Between on or about September 25, 2014, and November
11 17, 2014, Patient A was prescribed Depakote 1000 mg once daily. Beginning on or about
12 November 19, 2014, Patient A was prescribed Risperdal 2 mg twice daily, along with gabapentin,
13 paroxetine, and benztropine. Respondent did not document any discussion with Patient A
14 regarding increasing the Risperdal dosage or starting gabapentin, paroxetine, and benztropine, nor
15 did Respondent document the clinical rationale for these medication changes.

16 29. On or about November 25, 2014, the IOP Interdisciplinary Treatment Plan was
17 updated by Respondent. The update noted that Patient A was not compliant with his Depakote
18 medication, but was compliant with all other medications. Respondent adjusted Patient A's
19 medications by discontinuing Depakote. Patient A reported that he continued to use marijuana,
20 but was cutting back. The update was signed by Respondent on or about January 9, 2015.

21 30. On or about December 8, 2014, Patient A reported in group therapy that he had
22 stopped taking his medication for depression two weeks earlier and did not inform IOP staff.

23 31. On or about December 10, 2014, a laboratory test for blood Depakote levels was
24 ordered. According to a note dated on or about December 9, 2014, however, Patient A reported
25 that he was not taking Depakote.

26 32. On or about December 15, 2014, Patient A was seen by Respondent. Respondent
27 noted that Patient A was compliant with his medications and wished to continue with his
28 medication regimen, but requested an increase of his Risperdal dosage. Respondent changed

1 Patient A's medications by increasing his Risperdal dosage to 2 mg twice daily. On or about the
2 same day, Respondent made an order reflecting this change. On or about the same day,
3 Respondent also issued a telephone order for three-month refills of benztropine, gabapentin, and
4 paroxetine. The telephone order was signed by Respondent on or about January 9, 2015.

5 33. On or about December 23, 2014, the IOP Interdisciplinary Treatment Plan was
6 updated by Respondent. According to the update, the following medications were added:
7 resveratrol 500 mg, vitamin B12, huperzine A, milk thistle, chromium picolinate, raw probiotics,
8 and aspirin. The update also noted Patient A's continued use of marijuana on a daily basis. The
9 update was signed by Respondent on or about January 9, 2015.

10 34. On or about January 9, 2015, Patient A was seen by Respondent. Respondent noted
11 that Patient A was compliant with his medications with no side effects, sleeping and eating better,
12 and wished to continue with his medication regimen. He also noted that Patient A complained of
13 memory problems.

14 35. On or about January 12, 2015, Respondent also issued a telephone order to refer
15 Patient A to a neurologist for evaluation of memory and cognitive decline and appropriate
16 treatment. Respondent signed the telephone order on or about March 2, 2015.

17 36. On or about January 20, 2015, the IOP Interdisciplinary Treatment Plan was updated
18 by Respondent. The update noted no medication adjustments and continuing complaints of
19 memory problems by Patient A. The update also referenced Respondent's referral of Patient A to
20 a neurologist. The update was signed by Respondent on or about March 2, 2015.

21 37. On or about January 27, 2015, Respondent issued a telephone order for three-month
22 refills of Risperdal 2 mg twice daily as well as benztropine, gabapentin, and paroxetine. The
23 telephone order was signed by Respondent on March 2, 2015.

24 ///

25 ///

26 ///

27 ///

28 ///

1 38. On or about February 5, 2015, the IOP Interdisciplinary Treatment Plan was updated
2 by Respondent. The update reported that Patient A was discharged as of his last date of
3 attendance on or about February 5, 2015, due to family reunification activities out of state. The
4 update was signed by Respondent on or about March 2, 2015. Likewise, on or about February 5,
5 2015, Respondent issued a telephone order discharging Patient A from the IOP. The telephone
6 order was signed by Respondent on or about March 2, 2015.

7 39. According to the discharge summary dated on or about February 5, 2015, the
8 discharge diagnoses for Patient A were as follows: Axis I (bipolar I disorder, most recent episode
9 depressed); Axis II (deferred); Axis III: (neurosyphilis, hyperlipidemia, GERD); Axis IV (severe,
10 primary support and social environment); Axis V (GAF: 30). In addition, discharge psychiatric
11 medications included Risperdal 2 mg twice daily, as well as gabapentin, paroxetine, and
12 benztropine.

13 40. On or about February 25, 2015, Patient A was readmitted to the Alvarado Parkway
14 Institute IOP. On or about the same day, Respondent issued a telephone order for the following
15 psychiatric medications: Risperdal 2 mg twice daily, as well as paroxetine, benztropine, and
16 gabapentin. The telephone order was signed by Respondent on or about March 2, 2015.

17 41. On or about March 2, 2015, Respondent made a handwritten note stating that a
18 psychiatric evaluation was done. Respondent noted the following diagnoses: Axis I (bipolar I
19 disorder, mixed, severe with no psychosis); Axis II (deferred); Axis III (neurosyphilis,
20 hyperlipidemia); Axis IV (psychosocial stress secondary to mental illness); and Axis 5 (highest
21 GAF in past year: 52, current GAF: 28). Respondent did not include any information regarding
22 symptoms, impairments, medications, or treatment objectives for Patient A.

23 42. On or about March 4, 2015, an IOP Interdisciplinary Master Treatment Plan was
24 prepared for Patient A. In the "Specific Causes of Functional Impairment" section, Patient A was
25 noted to exhibit impaired cognitive functioning with memory loss, chronic cannabis abuse, and
26 history of medication non-compliance, including present non-compliance. The treatment plan
27 was signed by Respondent on or about May 24, 2015.

28 ///

1 43. On or about March 18, 2015, Patient A was seen by IPO physician, Dr. S.E. Changes
2 in Patient A's mental status were noted, with intermittent episodes of confusion and poor
3 concentration, as well as continued abuse of cannabis. Medication changes were made, including
4 decreasing Paxil and decreasing Cogentin. Patient A was to follow up regarding the neurology
5 consult results. On or about March 19, 2015, Patient A was also seen by IPO physician, Dr. J.C.,
6 for a new decrease in memory.

7 44. On or about April 1, 2015, the IOP Interdisciplinary Treatment Plan was updated. The
8 update noted that Patient A met with a psychiatrist on March 13, 2015, to discuss medications and
9 symptoms and that four medication changes were made: "remove Paroxetine HCL for
10 depression, Bzotropine for EPS and add Paxie [sic] for depression, Cogentin for EPS." The
11 update also noted Patient A's March 19, 2015, visit with Dr. J.C. due to his continuing struggles
12 with memory issues, confusion, and disorganization and that he was referred to an outside
13 medical doctor. The update was signed by Respondent on or about April 17, 2015.

14 45. On or about April 6, 2015, Respondent dictated his Psychiatric Admission Evaluation
15 for Patient A. The note was not signed until on or about April 17, 2015. Respondent summarized
16 Patient A's clinical history and reason for admission. The psychiatric diagnoses were noted as
17 follows: Axis I (bipolar I disorder, mixed, severe without psychotic features; cannabis abuse);
18 Axis II (deferred); Axis III (neurosyphilis, hyperlipidemia, gastroesophageal reflux disease); Axis
19 IV: (psychosocial and environmental stress secondary to chronic mental illness); Axis 5 (GAF:
20 28). The note made no mention of Patient A's reported changes in mental status and medications.

21 46. On or about April 7, 2015, Patient A presented to the nursing station stating that he
22 did not feel well and that his primary physician recommended that he stop all of his medications,
23 including the psychotropic medications, which Patient A agreed to do.

24 47. On or about April 17, 2015, Patient A was seen by Respondent. Respondent noted
25 that Patient A was compliant with his medication with no side effects, was sleeping and eating
26 better, and wished to continue with the same medications. The note indicated no medication
27 changes. The note made no mention of Patient A's new memory problems, confusion,
28 disorganization, medication changes, or prior reports of medication non-compliance.

1 48. On or about April 21, 2015, Patient A was seen by IPO physician, Dr. J.C. One of the
2 reasons for the visit was Patient A's memory deficits.

3 49. On or about April 29, 2015, the IOP Interdisciplinary Treatment Plan was updated.
4 The update reported no medication changes for the recording period. The update referenced
5 Patient A's prior medication non-compliance as reported on or about April 7, 2015, and stated
6 that Patient A became medication compliant a few days later and his mood improved. The update
7 was signed by Respondent on or about May 4, 2015.

8 50. On or about May 4, 2015, Patient A was seen by Respondent. Respondent noted that
9 Patient A was compliant with his medication with no side effects and was sleeping and eating
10 better. The note indicated no medication changes. Respondent made no mention of Patient A's
11 prior medication non-compliance or any discussions with Patient A regarding the adverse effects
12 of stopping his medications.

13 51. On or about May 7, 2015, Patient A was suspended from the program for two days
14 due to behavioral issues, including threatening behavior towards program peers.

15 52. On or about May 20, 2015, Patient A was discharged from the IOP due to his refusal
16 to return to the program. His last date of attendance was on or about May 18, 2015. Patient A's
17 psychiatric medications at discharge included Risperdal 2 mg twice daily, along with gabapentin,
18 Paxil, and Cogentin. Respondent was listed as Patient A's provider on the discharge summary.
19 The discharge summary was signed by Respondent on or about May 24, 2015.

20 53. On or about May 20, 2015, Respondent issued a telephone order discharging Patient
21 A as of May 18, 2015. The telephone order was signed by Respondent on or about May 24, 2015.
22 The telephone order did not include Patient A's discharge psychiatric medications.

23 54. On or about May 20, 2015, the IOP Interdisciplinary Treatment Plan was updated to
24 reflect Patient A's discharge from the IOP. The update was signed by Respondent on or about
25 May 24, 2015.

26 55. During Patient A's entire admission at the Alvarado Parkway Institute IOP,
27 Respondent did not provide a plan to address Patient A's chronic cannabis use, nor did he
28 evaluate its effects or give a rationale for not addressing it.

1 56. During the timeframe that Patient A was receiving treatment at Respondent's office
2 and during Patient A's entire admission at the Alvarado Parkway Institute IOP, Respondent's
3 treatment records did not contain any informed consent for psychiatric medication treatment, nor
4 did they include any documentation of any discussion with Patient A regarding treatment
5 objectives, potential side effects, alternatives, risks, dosage, or need for monitoring.

6 57. During the timeframe that Patient A was receiving treatment at Respondent's office
7 and during Patient A's entire admission at the Alvarado Parkway Institute IOP, Respondent's
8 treatment records did not contain any laboratory reports for blood levels pertaining to Depakote,
9 orders for such testing, documentation that Patient A refused testing, or documentation that
10 attempts were made to obtain baseline laboratory results from Patient A's prior providers or
11 laboratory.

12 58. During the timeframe that Patient A was receiving treatment at Respondent's office
13 and during Patient A's entire admission at the Alvarado Parkway Institute IOP, Respondent's
14 treatment records did not reflect any discussion or decision that Respondent's treatment of Patient
15 A had been terminated, that Respondent had notified Patient A of any such termination, whether
16 in writing or verbally, or that Respondent provided any treatment referrals to Patient A for
17 another provider. Respondent's treatment records also did not reflect any records of refills of
18 Patient A's psychiatric medications following his May 20, 2015, discharge from the Alvarado
19 Parkway Institute IOP, any treatment referrals, or any attempts to notify Patient A of the reasons
20 for not authorizing refill requests.

21 59. Respondent committed gross negligence in his care and treatment of Patient A, which
22 included, but was not limited to the following:

23 (a) Respondent purportedly terminated his treatment of Patient A without
24 discussion or notification to Patient A and, following Patient A's discharge from the
25 Alvarado Parkway Institute IOP on or about May 20, 2015, Respondent failed to refill
26 Patient A's medications without discussion or notification to Patient A of the reasons
27 for not authorizing refill requests and without providing Patient A with treatment
28 referrals to another provider.

1 **SECOND CAUSE FOR DISCIPLINE**

2 **(Repeated Negligent Acts)**

3 60. Respondent has subjected his Physician's and Surgeon's Certificate No. A 67679 to
4 disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (c), of
5 the Code, in that he committed repeated negligent acts in his care and treatment of Patient A, as
6 more particularly alleged in paragraphs 10 through 59, above, which are hereby incorporated by
7 reference and re-alleged as if fully set forth herein.

8 61. Respondent committed repeated negligent acts in his care and treatment of Patient A,
9 which included, but was not limited to the following:

10 (a) Respondent failed to obtain sufficient clinical information during Patient
11 A's initial visit on or about June 22, 2013, to formulate an accurate psychiatric
12 diagnosis necessary for developing an appropriate treatment plan;

13 (b) Respondent failed to obtain Patient A's informed consent for psychiatric
14 medication treatment with Paxil, Risperdal, Depakote, Neurontin, and Cogentin
15 during the timeframe that Patient A was receiving treatment at Respondent's office
16 and during Patient A's entire admission at the Alvarado Parkway Institute IOP;

17 (c) Respondent failed to adequately document the rationale for changes in
18 Patient A's treatment with psychiatric medications or that the treatment changes were
19 discussed with Patient A during the timeframe that Patient A was receiving treatment
20 at Respondent's office and during Patient A's entire admission at the Alvarado
21 Parkway Institute IOP;

22 (d) Respondent failed to obtain adequate baseline laboratory studies and
23 provide laboratory monitoring during Patient A's treatment with Depakote during the
24 timeframe that Patient A was receiving treatment at Respondent's office and during
25 Patient A's entire admission at the Alvarado Parkway Institute IOP;

26 (e) Respondent failed to maintain a comprehensive record of all aspects of
27 treatment, including billing records; identifying patient information such as address,
28 phone number, emergency contact, and power of attorney; logs or records of patient

1 phone contacts; pharmacy faxes; laboratory reports; requests for records or contact
2 with other treating clinicians, whether attempted or made; HIPAA privacy notices;
3 HIPAA-compliant authorizations; and informed consent for psychiatric medication
4 treatment during the timeframe that Patient A was receiving treatment at
5 Respondent's office;

6 (f) Respondent denied Patient A's request for refill of his Paxil on or about
7 August 26, 2014, thereby exposing Patient A to the risk of withdrawal symptoms and
8 decompensation in Patient A's psychiatric condition;

9 (g) Respondent failed to dictate his Psychiatric Admission Evaluation until
10 on or about October 28, 2014, several weeks after Patient A was first admitted to the
11 Alvarado Parkway Institute IOP;

12 (h) Respondent failed to review Patient A's treatment records, including
13 treatment plans and updates by the treatment team, in a timely manner during Patient
14 A's entire admission at the Alvarado Parkway Institute IOP;

15 (i) Respondent failed to dictate the Psychiatric Admission Evaluation until
16 on or about April 6, 2015, several weeks after Patient A was readmitted to the
17 Alvarado Parkway Institute IOP; and

18 (j) Respondent failed to recognize, acknowledge, and clinically respond to
19 changes in Patient A's condition that were documented in the records during Patient
20 A's readmission at the Alvarado Parkway Institute IOP.

21 **THIRD CAUSE FOR DISCIPLINE**

22 **(Failure to Maintain Adequate and Accurate Medical Records)**

23 62. Respondent has subjected his Physician's and Surgeon's Certificate No. A 67679 to
24 disciplinary action under sections 2227 and 2234, as defined by section 2266, of the Code, in that
25 he failed to maintain adequate and accurate records regarding his care and treatment of Patient A,
26 as more particularly alleged in paragraphs 10 through 61, above, which are hereby incorporated
27 by reference and re-alleged as if fully set forth herein.

28 ///

1 **FOURTH CAUSE FOR DISCIPLINE**

2 **(General Unprofessional Conduct)**


3 63. Respondent has subjected his Physician's and Surgeon's Certificate No. A 67679 to
4 disciplinary action under sections 2227 and 2234 of the Code, in that he has engaged in conduct
5 which breaches the rules or ethical code of the medical profession, or conduct which is
6 unbecoming to a member in good standing of the medical profession, and which demonstrates an
7 unfitness to practice medicine, as more particularly alleged in paragraphs 10 through 62, above,
8 which are hereby incorporated by reference and re-alleged as if fully set forth herein.

9 **PRAYER**

10 WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged,
11 and that following the hearing, the Medical Board of California issue a decision:

- 12 1. Revoking or suspending Physician's and Surgeon's Certificate No. A 67679, issued
13 to Respondent Yashwant S. Chaudhri, M.D.;
- 14 2. Revoking, suspending or denying approval of Respondent Yashwant S. Chaudhri,
15 M.D.'s authority to supervise physician assistants, pursuant to section 3527 of the Code, and
16 advanced practice nurses;
- 17 3. Ordering Respondent Yashwant S. Chaudhri, M.D., if placed on probation, to pay the
18 Board the costs of probation monitoring; and
- 19 4. Taking such other and further action as deemed necessary and proper.

20
21 DATED: February 22, 2019


22 KIMBERLY KIRCHMEYER
23 Executive Director
24 Medical Board of California
25 Department of Consumer Affairs
26 State of California
27 Complainant

26 SD2019700400
27 71753941.docx
28