BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation)	
Against:)	
)	•
•)	
KERMIT DALE JOHNSON, M.D.)	Case No. 800-2015-016497
)	
Physician's and Surgeon's)	
Certificate No. G45056)	•
)	•
Respondent)	
•)	<u>.</u>

DECISION

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on May 31, 2019.

IT IS SO ORDERED: May 3, 2019.

MEDICAL BOARD OF CALIFORNIA

Ronald H. Lewis, M.D., Chair

Panel A

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1	XAVIER BECERRA				
2	Attorney General of California MARY CAIN-SIMON	•			
3	Supervising Deputy Attorney General ALICE W. WONG				
	Deputy Attorney General State Bar No. 160141	•			
4	455 Golden Gate Avenue, Suite 11000				
5	San Francisco, CA 94102-7004 Telephone: (415) 510-3873				
6	Facsimile: (415) 703-5480 Attorneys for Complainant				
7					
8	BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS				
9.					
10	STATE OF CALIFORNIA				
11					
12	In the Matter of the Accusation Against:	Case No. 800-2015-016497			
13	KERMIT DALE JOHNSON, M.D.	OAH No. 2018080834			
14 15	43575 Mission Blvd Suite 417 Fremont, CA 94539	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER			
16 17	Physician's and Surgeon's Certificate No. G 45056				
18	Respondent.	·			
19					
20	IT IS HEREBY STIPULATED AND AGR	EED by and between the parties to the above-			
21	entitled proceedings that the following matters are	e true:			
22	PARTIES				
23	1. Kimberly Kirchmeyer (Complainant) is the Executive Director of the Medical Board				
24	of California (Board). She brought this action solely in her official capacity and is represented in				
25	this matter by Xavier Becerra, Attorney General o	of the State of California, by Alice W. Wong,			
26	Deputy Attorney General.				
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- Respondent Kermit Dale Johnson, M.D. (Respondent) is represented in this
 proceeding by attorney John L. Fleer, whose address is: 1850 Mt. Diablo Blvd, Ste. 120
 Walnut Creek, CA 94596
- 3. On or about July 1, 1981, the Board issued Physician's and Surgeon's Certificate No. G 45056 to Kermit Dale Johnson, M.D. (Respondent). The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2015-016497, and will expire on January 31, 2019, unless renewed.

JURISDICTION

- 4. Accusation No. 800-2015-016497 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on May 8, 2018. Respondent timely filed his Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 800-2015-016497 is attached as exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2015-016497. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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CULPABILITY

- 9. Respondent admits the truth of each and every charge and allegation in Accusation No. 800-2015-016497.
- 10. Respondent agrees that his Physician's and Surgeon's Certificate is subject to discipline and he agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

CONTINGENCY

- 11. This stipulation shall be subject to approval by the Medical Board of California. Respondent understands and agrees that counsel for Complainant and the staff of the Medical Board of California may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. G 45056 issued to Respondent Kermit Dale Johnson, M.D. is revoked. However, the revocation is stayed and Respondent is placed on probation for five (5) years on the following terms and conditions.

1. EDUCATION COURSE. Within 60 calendar days of the effective date of this

Decision, and on an annual basis thereafter, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 40 hours per year, for each year of probation. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of CME of which 40 hours were in satisfaction of this condition.

2. PROFESSIONALISM PROGRAM (ETHICS COURSE). Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a professionalism program, that meets the requirements of Title 16, California Code of Regulations (CCR) section 1358.1. Respondent shall participate in and successfully complete that program. Respondent shall provide any information and documents that the program may deem pertinent. Respondent shall successfully complete the classroom component of the program not later than six (6) months after Respondent's initial enrollment, and the longitudinal component of the program not later than the time specified by the program, but no later than one (1) year after attending the classroom component. The professionalism program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A professionalism program taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the program would have been approved by the Board or its designee had the program been taken after the effective date of this Decision.

Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the program or not later than 15 calendar days after the effective date of the Decision, whichever is later.

3. PROFESSIONAL BOUNDARIES PROGRAM. Within 60 calendar days from the

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effective date of this Decision, Respondent shall enroll in a professional boundaries program approved in advance by the Board or its designee. Respondent, at the program's discretion, shall undergo and complete the program's assessment of Respondent's competency, mental health and/or neuropsychological performance, and at minimum, a 24 hour program of interactive education and training in the area of boundaries, which takes into account data obtained from the assessment and from the Decision(s), Accusation(s) and any other information that the Board or its designee deems relevant. The program shall evaluate Respondent at the end of the training and the program shall provide any data from the assessment and training as well as the results of the evaluation to the Board or its designee.

Failure to complete the entire program not later than six (6) months after Respondent's initial enrollment shall constitute a violation of probation unless the Board or its designee agrees in writing to a later time for completion. Based on Respondent's performance in and evaluations from the assessment, education, and training, the program shall advise the Board or its designee of its recommendation(s) for additional education, training, psychotherapy and other measures necessary to ensure that Respondent can practice medicine safely. Respondent shall comply with program recommendations. At the completion of the program, Respondent shall submit to a final evaluation. The program shall provide the results of the evaluation to the Board or its designee. The professional boundaries program shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

The program has the authority to determine whether or not Respondent successfully completed the program.

A professional boundaries course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision.

4. <u>PSYCHIATRIC EVALUATION</u>. Within 30 calendar days of the effective date of this Decision, and on whatever periodic basis thereafter may be required by the Board or its

designee, Respondent shall undergo and complete a psychiatric evaluation (and psychological testing, if deemed necessary) by a Board-appointed board certified psychiatrist, who shall consider any information provided by the Board or designee and any other information the psychiatrist deems relevant, and shall furnish a written evaluation report to the Board or its designee. Psychiatric evaluations conducted prior to the effective date of the Decision shall not be accepted towards the fulfillment of this requirement. Respondent shall pay the cost of all psychiatric evaluations and psychological testing.

Respondent shall comply with all restrictions or conditions recommended by the evaluating psychiatrist within 15 calendar days after being notified by the Board or its designee.

5. <u>PSYCHOTHERAPY</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for prior approval the name and qualifications of a California-licensed board certified psychiatrist or a licensed psychologist who has a doctoral degree in psychology and at least five years of postgraduate experience in the diagnosis and treatment of emotional and mental disorders. Upon approval, Respondent shall undergo and continue psychotherapy treatment, including any modifications to the frequency of psychotherapy, until the Board or its designee deems that no further psychotherapy is necessary.

The psychotherapist shall consider any information provided by the Board or its designee and any other information the psychotherapist deems relevant and shall furnish a written evaluation report to the Board or its designee. Respondent shall cooperate in providing the psychotherapist with any information and documents that the psychotherapist may deem pertinent.

Respondent shall have the treating psychotherapist submit quarterly status reports to the Board or its designee. The Board or its designee may require Respondent to undergo psychiatric evaluations by a Board-appointed board certified psychiatrist. If, prior to the completion of probation, Respondent is found to be mentally unfit to resume the practice of medicine without restrictions, the Board shall retain continuing jurisdiction over Respondent's license and the period of probation shall be extended until the Board determines that Respondent is mentally fit to resume the practice of medicine without restrictions.

 Respondent shall pay the cost of all psychotherapy and psychiatric evaluations.

6. MONITORING - PRACTICE. A practice monitor will not be required if Respondent maintains his current employment at Contra Costa Adult Mental Health. Within 30 calendar days after Respondent is no longer employed at Contra Costa Adult Mental Health, Respondent shall submit to the Board or its designee for prior approval as a practice monitor(s), the name and qualifications of one or more licensed physicians and surgeons whose licenses are valid and in good standing, and who are preferably American Board of Medical Specialties (ABMS) certified. A monitor shall have no prior or current business or personal relationship with Respondent, or other relationship that could reasonably be expected to compromise the ability of the monitor to render fair and unbiased reports to the Board, including but not limited to any form of bartering, shall be in Respondent's field of practice, and must agree to serve as Respondent's monitor. Respondent shall pay all monitoring costs.

The Board or its designee shall provide the approved monitor with copies of the Decision and Accusation, and a proposed monitoring plan. Within 15 calendar days of receipt of the Decision, Accusation, and proposed monitoring plan, the monitor shall submit a signed statement that the monitor has read the Decision and Accusation, fully understands the role of a monitor, and agrees or disagrees with the proposed monitoring plan. If the monitor disagrees with the proposed monitoring plan, the monitor shall submit a revised monitoring plan with the signed statement for approval by the Board or its designee.

Within 60 calendar days after Respondent is no longer employed at Contra Costa Adult Mental Health, and continuing throughout the remainder of Respondent's probation, Respondent's practice shall be monitored by the approved monitor. Respondent shall make all records available for immediate inspection and copying on the premises by the monitor at all times during business hours and shall retain the records for the entire term of probation.

If Respondent fails to obtain approval of a monitor within 60 calendar days after
Respondent is no longer employed at Contra Costa Adult Mental Health, Respondent shall
receive a notification from the Board or its designee to cease the practice of medicine within three
(3) calendar days after being so notified. Respondent shall cease the practice of medicine until a

monitor is approved to provide monitoring responsibility.

The monitor shall submit a quarterly written report to the Board or its designee which includes an evaluation of Respondent's performance, indicating whether Respondent's practices are within the standards of practice of medicine, and whether Respondent is practicing medicine safely. It shall be the sole responsibility of Respondent to ensure that the monitor submits the quarterly written reports to the Board or its designee within 10 calendar days after the end of the preceding quarter.

If the monitor resigns or is no longer available, Respondent shall, within 5 calendar days of such resignation or unavailability, submit to the Board or its designee, for prior approval, the name and qualifications of a replacement monitor who will be assuming that responsibility within 15 calendar days. If Respondent fails to obtain approval of a replacement monitor within 60 calendar days of the resignation or unavailability of the monitor, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. Respondent shall cease the practice of medicine until a replacement monitor is approved and assumes monitoring responsibility.

In lieu of a monitor, Respondent may participate in a professional enhancement program approved in advance by the Board or its designee that includes, at minimum, quarterly chart review, semi-annual practice assessment, and semi-annual review of professional growth and education. Respondent shall participate in the professional enhancement program at Respondent's expense during the term of probation.

7. SOLO PRACTICE PROHIBITION. Respondent is prohibited from engaging in the solo practice of medicine. Prohibited solo practice includes, but is not limited to, a practice where: 1) Respondent merely shares office space with another physician but is not affiliated for purposes of providing patient care, or 2) Respondent is the sole physician practitioner at that location.

If Respondent fails to establish a practice with another physician or secure employment in an appropriate practice setting within 60 calendar days of the effective date of this Decision, Respondent shall receive a notification from the Board or its designee to cease the practice of

medicine within three (3) calendar days after being so notified. The Respondent shall not resume practice until an appropriate practice setting is established.

If, during the course of the probation, the Respondent's practice setting changes and the Respondent is no longer practicing in a setting in compliance with this Decision, the Respondent shall notify the Board or its designee within five (5) calendar days of the practice setting change. If Respondent fails to establish a practice with another physician or secure employment in an appropriate practice setting within 60 calendar days of the practice setting change, Respondent shall receive a notification from the Board or its designee to cease the practice of medicine within three (3) calendar days after being so notified. The Respondent shall not resume practice until an appropriate practice setting is established.

- 8. PROHIBITED PRACTICE. During probation, Respondent is prohibited from (1) practicing or attempting to practice hypnotherapy or hypnosis in any capacity and (2) treating patients with any history of sexual abuse. Respondent agrees to screen all prospective patients to determine if the patient has any history of sexual abuse for purpose of determining if the patient can be seen by Respondent. If the patient discloses any history of sexual abuse, Respondent must refer the patient to another provider. Respondent agrees to keep a written log of all prospective patient screening and to provide the Board with the written log upon request. The log shall contain the: 1) patient's name, address and phone number and 2) patient's medical record number, if available. Respondent shall keep this log in a separate file or ledger, in chronological order, shall make the log available for immediate inspection and copying on the premises at all times during business hours by the Board or its designee, and shall retain the log for the entire term of probation.
- 9. <u>NOTIFICATION</u>. Within seven (7) days of the effective date of this Decision, the Respondent shall provide a true copy of this Decision and Accusation to the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to Respondent, at any other facility where Respondent engages in the practice of medicine, including all physician and locum tenens registries or other similar agencies, and to the Chief

Executive Officer at every insurance carrier which extends malpractice insurance coverage to Respondent. Respondent shall submit proof of compliance to the Board or its designee within 15 calendar days.

This condition shall apply to any change(s) in hospitals, other facilities or insurance carrier.

- 10. <u>SUPERVISION OF PHYSICIAN ASSISTANTS AND ADVANCED PRACTICE</u>

 <u>NURSES.</u> During probation, Respondent is prohibited from supervising physician assistants and advanced practice nurses.
- 11. <u>OBEY ALL LAWS</u>. Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California and remain in full compliance with any court ordered criminal probation, payments, and other orders.
- 12. <u>QUARTERLY DECLARATIONS</u>. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Board, stating whether there has been compliance with all the conditions of probation.

Respondent shall submit quarterly declarations not later than 10 calendar days after the end of the preceding quarter.

13. GENERAL PROBATION REQUIREMENTS.

Compliance with Probation Unit

Respondent shall comply with the Board's probation unit.

Address Changes

Respondent shall, at all times, keep the Board informed of Respondent's business and residence addresses, email address (if available), and telephone number. Changes of such addresses shall be immediately communicated in writing to the Board or its designee. Under no circumstances shall a post office box serve as an address of record, except as allowed by Business and Professions Code section 2021(b).

Place of Practice

Respondent shall not engage in the practice of medicine in Respondent's or patient's place of residence, unless the patient resides in a skilled nursing facility or other similar licensed facility.

License Renewal

Respondent shall maintain a current and renewed California physician's and surgeon's license.

Travel or Residence Outside California

Respondent shall immediately inform the Board or its designee, in writing, of travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than thirty (30) calendar days.

In the event Respondent should leave the State of California to reside or to practice, Respondent shall notify the Board or its designee in writing 30 calendar days prior to the dates of departure and return.

- 14. <u>INTERVIEW WITH THE BOARD OR ITS DESIGNEE</u>. Respondent shall be available in person upon request for interviews either at Respondent's place of business or at the probation unit office, with or without prior notice throughout the term of probation.
- 15. NON-PRACTICE WHILE ON PROBATION. Respondent shall notify the Board or its designee in writing within 15 calendar days of any periods of non-practice lasting more than 30 calendar days and within 15 calendar days of Respondent's return to practice. Non-practice is defined as any period of time Respondent is not practicing medicine as defined in Business and Professions Code sections 2051 and 2052 for at least 40 hours in a calendar month in direct patient care, clinical activity or teaching, or other activity as approved by the Board. If Respondent resides in California and is considered to be in non-practice, Respondent shall comply with all terms and conditions of probation. All time spent in an intensive training program which has been approved by the Board or its designee shall not be considered non-practice and does not relieve Respondent from complying with all the terms and conditions of probation. Practicing medicine in another state of the United States or Federal jurisdiction while on probation with the medical licensing authority of that state or jurisdiction shall not be considered non-practice. A Board-ordered suspension of practice shall not be considered as a period of non-practice.

In the event Respondent's period of non-practice while on probation exceeds 18 calendar

months, Respondent shall successfully complete the Federation of State Medical Boards's Special Purpose Examination, or, at the Board's discretion, a clinical competence assessment program that meets the criteria of Condition 18 of the current version of the Board's "Manual of Model Disciplinary Orders and Disciplinary Guidelines" prior to resuming the practice of medicine.

Respondent's period of non-practice while on probation shall not exceed two (2) years.

Periods of non-practice will not apply to the reduction of the probationary term.

Periods of non-practice for a Respondent residing outside of California will relieve Respondent of the responsibility to comply with the probationary terms and conditions with the exception of this condition and the following terms and conditions of probation: Obey All Laws; General Probation Requirements; Quarterly Declarations; Abstain from the Use of Alcohol and/or Controlled Substances; and Biological Fluid Testing.

- 16. <u>COMPLETION OF PROBATION</u>. Respondent shall comply with all financial obligations (e.g., restitution, probation costs) not later than 120 calendar days prior to the completion of probation. Upon successful completion of probation, Respondent's certificate shall be fully restored.
- 17. <u>VIOLATION OF PROBATION</u>. Failure to fully comply with any term or condition of probation is a violation of probation. If Respondent violates probation in any respect, the Board, after giving Respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an Accusation, or Petition to Revoke Probation, or an Interim Suspension Order is filed against Respondent during probation, the Board shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.
- 18. <u>LICENSE SURRENDER</u>. Following the effective date of this Decision, if
 Respondent ceases practicing due to retirement or health reasons or is otherwise unable to satisfy
 the terms and conditions of probation, Respondent may request to surrender his or her license.
 The Board reserves the right to evaluate Respondent's request and to exercise its discretion in
 determining whether or not to grant the request, or to take any other action deemed appropriate
 and reasonable under the circumstances. Upon formal acceptance of the surrender, Respondent

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Medical Board of California. 11/21/2018 Respectfully submitted, Dated: XAVIER BECERRA Attorney General of California MARY CAIN-SIMON Supervising Deputy Attorney General ALICE W. WONG Deputy Attorney General Attorneys for Complainant SF2018400584 FINAL Stipulated Settlement and Disciplinary Order (Johnson).docx

Exhibit A

Accusation No. 800-2015-016497

1 2 3 4 5 6 7	XAVIER BECERRA Attorney General of California MARY CAIN-SIMON Supervising Deputy Attorney General ALICE W. WONG Deputy Attorney General State Bar No. 160141 455 Golden Gate Avenue, Suite 11000 San Francisco, CA 94102-7004 Telephone: (415) 510-3873 Facsimile: (415) 703-5480 Attorneys for Complainant BEFOR	FILED STATE OF CALIFORNIA MEDICAL BOARD OF CALIFORNIA SACRAMENTO hay 8 20 18 BY ANALYST	
8	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
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1.1	In the Matter of the Accusation Against:	Case No. 800-2015-016497	
12	Kermit Dale Johnson, M.D. 1420 Willow Pass Road, Ste. 200	OAH No. 2018031156	
13	Concord, CA 94520		
14	Physician's and Surgeon's Certificate No. G 45056,	ACCUSATION	
15	Respondent.		
		<u>,</u>	
16			
16 17	Complainant alleges:		
-	Complainant alleges:	<u>ries</u>	
17	PAR'	<u>FIES</u> brings this Accusation solely in her official	
17 18	PAR'	brings this Accusation solely in her official	
17 18 19	PAR 1. Kimberly Kirchmeyer (Complainant)	brings this Accusation solely in her official	
17 18 19 20	PAR' 1. Kimberly Kirchmeyer (Complainant) capacity as the Executive Director of the Medical Affairs (Board).	brings this Accusation solely in her official	
17 18 19 20 21	PAR' 1. Kimberly Kirchmeyer (Complainant) capacity as the Executive Director of the Medical Affairs (Board).	brings this Accusation solely in her official Board of California, Department of Consumer Board issued Physician's and Surgeon's	
17 18 19 20 21 22	PAR' 1. Kimberly Kirchmeyer (Complainant) capacity as the Executive Director of the Medical Affairs (Board). 2. On or about July 1, 1981, the Medical	brings this Accusation solely in her official Board of California, Department of Consumer I Board issued Physician's and Surgeon's ason, M.D. (Respondent). The Physician's and	
17 18 19 20 21 22 23	PAR' 1. Kimberly Kirchmeyer (Complainant) capacity as the Executive Director of the Medical Affairs (Board). 2. On or about July 1, 1981, the Medical Certificate Number G 45056 to Kermit Dale John	brings this Accusation solely in her official Board of California, Department of Consumer I Board issued Physician's and Surgeon's ason, M.D. (Respondent). The Physician's and 2019, unless renewed. On March 29, 2018,	
17 18 19 20 21 22 23 24	PAR' 1. Kimberly Kirchmeyer (Complainant) capacity as the Executive Director of the Medical Affairs (Board). 2. On or about July 1, 1981, the Medical Certificate Number G 45056 to Kermit Dale John Surgeon's Certificate will expire on January 31, 2	brings this Accusation solely in her official Board of California, Department of Consumer I Board issued Physician's and Surgeon's ason, M.D. (Respondent). The Physician's and 1019, unless renewed. On March 29, 2018, was entered by an Administrative Law Judge,	
17 18 19 20 21 22 23 24 25	PAR' 1. Kimberly Kirchmeyer (Complainant) capacity as the Executive Director of the Medical Affairs (Board). 2. On or about July 1, 1981, the Medical Certificate Number G 45056 to Kermit Dale John Surgeon's Certificate will expire on January 31, 2 Stipulation and Partial Interim Suspension Order	brings this Accusation solely in her official Board of California, Department of Consumer I Board issued Physician's and Surgeon's ason, M.D. (Respondent). The Physician's and 2019, unless renewed. On March 29, 2018, was entered by an Administrative Law Judge, being to practice hypnotherapy or hypnosis and	

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JURISDICTION

- This Accusation is brought before the Board, under the authority of the following
 laws. All section references are to the Business and Professions Code unless otherwise indicated.
 - 4. Section 2004 of the Code states:

"The board shall have the responsibility for the following:

- "(a) The enforcement of the disciplinary and criminal provisions of the Medical Practice

 Act.
 - "(b) The administration and hearing of disciplinary actions.
- "(c) Carrying out disciplinary actions appropriate to findings made by a panel or an administrative law judge.
- "(d) Suspending, revoking, or otherwise limiting certificates after the conclusion of disciplinary actions.
- "(e) Reviewing the quality of medical practice carried out by physician and surgeon certificate holders under the jurisdiction of the board.
 - "(f) Approving undergraduate and graduate medical education programs.
- "(g) Approving clinical clerkship and special programs and hospitals for the programs in subdivision (f).
 - "(h) Issuing licenses and certificates under the board's jurisdiction.
 - "(i) Administering the board's continuing medical education program."
- 5. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
 - 6. Section 2234 of the Code, states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
 - "(b) Gross negligence.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.
 - "(d) Incompetence.
- "(e) The commission of any act involving dishonesty or corruption which is substantially related to the qualifications, functions, or duties of a physician and surgeon.
 - "(f) Any action or conduct which would have warranted the denial of a certificate.
- "(g) The practice of medicine from this state into another state or country without meeting the legal requirements of that state or country for the practice of medicine. Section 2314 shall not apply to this subdivision. This subdivision shall become operative upon the implementation of the proposed registration program described in Section 2052.5.
- "(h) The repeated failure by a certificate holder, in the absence of good cause, to attend and participate in an interview by the board. This subdivision shall only apply to a certificate holder who is the subject of an investigation by the board."
- 7. Section 2266 of the Code states: "The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct."

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FACTS

- 8. At all times relevant to this matter, Respondent was a licensed psychiatrist employed at the Schuman-Liles Clinic in Fremont, California.
- 9. Respondent treated Patient A, a 28 year old female, between August 2014 and July 2015 for depression, anxiety, insomnia, and mood instability.
- 10. On August 26, 2014, Patient A was first seen by Respondent for various complaints, including depression, anxiety, insomnia, and panic attacks. Patient A disclosed childhood sexual abuse at this first visit. Patient A provided Respondent with the names of her current psychotherapist and past mental health provider.
- 11. Respondent diagnosed Patient A with Panic Disorder and Unspecified Mood Disorder. Respondent did not obtain any symptom history, information on the nature of concurrent psychotherapy, or any prior or concurrent treatment records to support his diagnoses.
 - 12. Respondent did not diagnose complex Post Traumatic Stress Disorder (PTSD).2
- 13. Patient A was seen next by Respondent on September 25, 2014. The medical notes for this visit are nearly identical to the August 26, 2014 medical notes with the same "cut and paste" grammar and spelling errors.
- 14. Respondent saw Patient A again on January 22, 2015, March 31, 2015 and finally on July 14, 2015. The medical notes for these visits again contain the same identical medical notes with the grammar and spelling errors contained in the first visit. Minimal new patient information was added to subsequent visits.
- 15. Respondent's treatment plan consisted solely of psychotropic medications as the intervention.

¹ The patient is designated in this document as Patient A to protect the patient's privacy. Respondent knows the name of the patient and can confirm the patient's identity through discovery.

² Even though Respondent did not diagnose Patient A with PTSD, Respondent later treated Patient A with hypnotherapy for PTSD on July 14, 2015. (See paragraph 16.)

- 16. On July 14, 2015, Respondent performed hypnotherapy on Patient A to treat her PTSD. Respondent did not document a diagnosis of PTSD and his medical record contains no documentation to support this diagnosis.
- 17. Respondent did not document any medical notes pertaining to the hypnotherapy in Patient A's medical records at Schuman-Liles Clinic.
- 18. Respondent kept separate handwritten notes of his hypnotherapy session with PatientA. The handwritten notes were illegible and incoherent.
- 19. Respondent used a sexualized hypnotic script which utilized suggestive and sexual language during the July 14, 2015 hypnotherapy with Patient A. Respondent never took a meaningful history from Patient A that would indicate a need for sexualized hypnotherapy.
- 20. Patient A felt pressured by Respondent to agree to the hypnotherapy. The sexualized hypnotherapy caused Patient A significant anxiety and stress.

FIRST CAUSE FOR DISCIPLINE

(Gross Negligence/Repeated Negligent Acts)

- 21. Respondent is guilty of unprofessional conduct and subject to disciplinary action under section 2234 and/or 2234 subdivision (b) (gross negligence) and subdivision (c) (repeated negligent acts), of the Code in that Respondent engaged in the conduct described above including, but not limited to, the following:
 - A. Respondent failed to obtain a thorough history of Patient A to make a psychiatric diagnosis on which to base treatment.
 - B. Respondent claims he utilized psychotherapy to treat Patient A for PTSD even though he did not document a diagnosis of PTSD and his medical record contains no documentation to support this diagnosis.
 - C. Respondent failed to explain the risks and benefits of sexualized hypnotherapy to Patient A.
 - D. Respondent failed to obtain informed consent from Patient A to perform sexualized hypnotherapy.

1	3.	Ordering Kermit Dale Johnson	n, M.D., if placed on probation, to pay the Board the
2	costs of probation monitoring; and		
3	4.	Taking such other and further	action as deemed necessary and proper.
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5	DATED:	May 8, 2018	KIMMU KMMMO
6	-		Executive Director Medical Board of California
7			Department of Consumer Affairs State of California
8			Complainant
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