STATE OF ALAS	5772.23.2A.25.20
DEPARTMENT OF	Signature     JAN 2005     Signature       Signature     Received Signature     1       Signature     AK Medical Board     Signature
COMMERCE COMMUNITY AND ECONOMIC DEVELOPMENT	AK Medical Board
DIVISION OF OCCUPATIONAL LICENSING	22 june 519

Created by trial version of DocuFreezer

Frank H. Murkowski, Governor

## CERTIFIED # 7002 3150 0001 1620 3823 RETURN RECEIPT REQUESTED

January 24, 2005

Michael R. Bernzott, M.D. C/o Dennis P. Maloney, Esquire 2525 C Street, Suite 425 Anchorage, Alaska 99503

Dear Dr. Bernzott:

RE: Case Nos. 2800-95-019, 2800-01-081 & 2800-03-043

This letter shall serve as formal notice to you that the State Medical Board, during its January 20, 2005 meeting, adopted the Memorandum of Agreement presented to the Board by members of the staff from the Division of Occupational Licensing. A copy of this signed agreement between yourself and the Board, as adopted, is enclosed.

Should you have any questions regarding this matter, please do not hesitate to contact me at the above listed address and telephone number.

Sincerely,

Gary Veres

Chief Investigator

Enclosure

 cc: All Members, State Medical Board Rick Urion, Director Barbara Gabier, Chief, Occupational Licensing w/original Hearing Officer Unit Robert Auth, Assistant Attorney General Colin Matthews, Investigator Deborah L. Finley, Investigator
 Leslie Gallant, Executive Administrator Dennis Maloney, Attorney File: 2800-95-019, 2800-01-081 & 2800-03-043

JGV:ab

550 W. 7th Avenue, Suite 1500, Anchorage, Alaska 99501-3567 Telephone: (907) 269-8160 Fax: (907) 269-8156 Text Telephone: (907) 465-5437 Email: license@commerce.state.ak.us Website: http://www.commerce.state.ak.us/occ/

	1	STATE OF ALASKA				
	2 DEPARTMENT OF COMMERCE COMMUNITY AND ECONOMIC DEVELOPMENT					
	3	DIVISION OF OCCUPATIONAL LICENSING				
	4	BEFORE THE STATE MEDICAL BOARD				
	5	In the Matter of:				
	6	Michael R. Bernzott, M.D.				
	7					
	8	Respondent         )           Case No: 2800.95.019, 2800.01.081 & 2800.03.043         0165199				
	9	MEMORANDUM OF AGREEMENT				
	10					
	11	IT IS HEREBY AGREED by the Department of Commerce, Community and				
	12	Economic Development, Division of Occupational Licensing (Division) and				
	13	Michael R. Bernzott, M.D. (Bernzott) as follows:				
	14	1. Licensure. Bernzott is currently licensed as a Physician in the State of Alaska,				
	15	and holds License number #3099. This license was first issued on August 31,				
	16	1985 and was revoked by Default Judgment on October 21, 2004.				
	17	2. <u>Admission/Jurisdiction</u> . Bernzott admits and agrees that the State Medical				
	18	Board (Board) has jurisdiction over the subject matter of his license in Alaska and				
	19	over this Memorandum of Agreement (MOA).				
	20	3. <u>Admission/Facts</u> . Bernzott admits to the following facts:				
69-8	21	a. that on August 24, 1995, he participated in a treatment team meeting				
Phone 907-269-8160 Fax 9	22	which was held in a conference room at North Star Hospital, Anchorage, Alaska,				
	23	and that Patient M.L.T. was the subject of the meeting.				
	24	b. that subsequent to him "setting up the exercise" he identified as				
	25	something which might seem "a little weird," Bernzott removed a handgun from a				
	26	holster on his person and placed the handgun on a desk within reach of patient				
	27	M.L.T. Bernzott states the handgun was not loaded.				
	28	c. that according to Bernzott, the patient asked permission to pick up the				
	29	hand gun, received permission from Bernzott to do so, and then upon request,				
	30	returned it to Bernzott. It appeared to one or more of the staff members that the				
	31	patient waived the gun around before returning it to Bernzott.				
	32					
	33	<b>11 1 1 1 1 1 1 1 1 1</b>				
	34	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
	94					

State Of Alaska Department Of Commerce Community And Economic Development Division of Occupational Licensing

--- . ج

> 550 West 7th Avenue, Anchorage, Alaska 99501 Phone 907-269-8160 Fax 907-269-8195

d. that when M.L.T. asked if the handgun was loaded, Bernzott verbally responded by saying, "Oh absolutely."

e. that Bernzott's actions have been construed by some to not follow the
basic principles of either psychoanalytically oriented psychotherapy or of
behavioral therapy.

6 7

8

17

18

19

20

21

22

23

24

25

26

27

32

33

34

2

1

2

 f. that Bernzott's actions constitute a significant error in clinical judgment.
 g. that Bernzott failed to fully perceive the potential impact of his actions on treatment team members.

h. that a psychiatrist who reviewed this matter stated "it is unlikely that an
episode of this nature will occur in the future", and a licensed psychologist in
Anchorage, Alaska, with whom Bernzott initiated and engaged in peer review
meetings for approximately three years, stated "it is unlikely that Dr. Bernzott
would repeat a similar intervention again."

i. that on May 21, 2001, Bernzott received a written request for a complete
copy of the medical records of patient A.K., a minor child. The request was made
by R.K., the minor child's father/legal guardian.

j. that on May 23, 2001, Bernzott replied to R. K.'s written request but did not supply a complete copy of the minor child's medical records as requested. In his response to the Division, Bernzott expressed concerns about the welfare of the patient if he released the records to R.K.

k. that Bernzott has still not provided the records to R.K.

I. that on March 11, 2003, in Alaska, Bernzott signed an application for a license to practice medicine in Arizona, and in response to Question No.10 of that application, which reads "Are you currently under investigation by any medical board or peer review body?", Bernzott answered NO.

m. At the time he submitted the Arizona application, Bernzott was, and still is, under active investigation by this Division on behalf of the Board.

n. that Bernzott's answer to Question 10 of the Arizona application is false.
On September 9, 2003, a representative of the Arizona Medical Board reported
that Bernzott had been allowed to withdraw his Arizona application in lieu of
possible Board denial of his application.

State Of Alaska Department Of Commerce Community And Economic Development Division of Occupational Licensing 550 West 7th Avenue, Anchorage, Alaska 9950 Phone 907-269-8160 Fax 907-269-8195 o. that on June 24, 2003, the Division filed an Accusation, which was served on Bernzott via U.S. Certified Return Receipt Mail 7001 2510 0004 2031 7003, which was signed for at Bernzott's Arizona address on June 24, 2004. He did not respond to the Notice of Defense.

4 5

1

2

3

p. that on August 11, 2004, the Division filed a Motion For Default
Judgment to revoke Bernzott's Alaska medical license, and on October 21, 2004,
the Board entered an Order revoking his Alaska medical license.

q. that upon acceptance of this agreement by the Board his Alaska license
will be reinstated with an expiration date of December 31, 2004, as it was prior to
the revocation. To renew his license he must meet all licensing requirements
under AS 08.64.

Bernzott admits that as a result of the above facts, grounds exist for possible suspension, revocation, or other disciplinary sanctions of his physician license pursuant to AS 08.01.075, AS 08.64.326 (a)(1) (7) (8) (A), and 12 AAC 40.967 (11).

4. Formal Hearing Process. It is the intent of the parties to this MOA to provide 16 for the compromise and settlement of all issues that have been raised by the 17 Accusation filed on June 24, 2004 to revoke, suspend, or impose disciplinary 18 sanctions against Bernzott's physician license through a formal hearing process. 19 5. Waiver of Rights. Bernzott has consulted with an attorney of his own choosing 20 and understands he has the right to appeal the revocation Order to Superior 21 Court. He understands and agrees that by signing this MOA, he is waiving his 22 rights to appeal that Order. Further, he understands and agrees that he is 23 relieving the Division of any burden it has of proving the facts he admits above. 24 Bernzott understands and agrees that by signing this MOA he is voluntarily and 25 knowingly giving up his right to present oral and documentary evidence, to present 26 rebuttal evidence, and to cross-examine witnesses against him. 27

6. <u>Effect of Nonacceptance of Agreement</u>. Bernzott and the Division agree that this MOA is subject to the approval of the Board. They agree that if the Board rejects this MOA, it will be void, and the October 21, 2004 revocation Order will remain in effect.

State Of Alaska Department Of Commerce Community And Economic Development Division of Occupational Licensing 550 West 7th Avenue, Anchorage, Alaska 99501 Phone 907-269-8160 Fax 907-269-8195

34 |

3

28

29

30

31

32

33

7. <u>Memorandum of Agreement, Decision and Order</u>. Bernzott agrees that the Board has the authority to enter into this MOA and to issue the following Decision and Order.

## PROPOSED DECISION AND ORDER

IT IS HEREBY ORDERED that the physician license issued Bernzott shall be subject to the following terms and conditions.

A. <u>Civil Fine</u>. Bernzott shall pay a fine of \$10,000.00, with \$4,000.00
suspended, in certified check or money order payable to the State of Alaska within
ninety (90) days after this MOA is adopted by the Board. If Bernzott fails to pay
the \$6,000.00 within the ninety (90) days, the entire amount of the fine
(\$10,000.00), will become immediately due and payable.

All payments required by this MOA shall be addressed to:

Gary Veres, Chief Investigator Division of Occupational Licensing 550 West 7th Avenue, Suite 1500 Anchorage, Alaska 99501-3567

B. <u>Reprimand</u>. The Board hereby reprimands you for allowing patient M.L.T. to take physical possession of a handgun. Although you contend the handgun was unloaded, and that your actions were intended as therapy for M.L.T., your actions purportedly caused a great deal of fear among some staff members who were present in the room with you and M.L.T. There is no indication the patient suffered any harm as a result of your actions. It has been opined your actions did not follow basic principles of either psychoanalytically oriented psychotherapy or of behavioral therapy. You committed a significant error in clinical judgment in this instance.

You are also reprimanded for your failure to provide medical records to a person authorized to receive the records within the ninety days specified by professional regulations pertaining to the practice of medicine in Alaska. Although you did send a letter to the requesting parent, you did not provide the records he

Community And Economic Development Division of Occupational Licensing 550 West 7th Avenue, Anchorage, Alaska 99501 Phone 907-269-8160 Fax 907-269-8195

Department Of Commerce

State Of Alaska

1

2

3

4

5

6

13

14

15

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33 34

• .		
	1	requested. The Board expects you to comply with laws relating to the practice of
	2	medicine in Alaska.
	3	You are also reprimanded for failing to provide accurate information to the State
	4	of Arizona in relation with your application for a license to practice medicine in that
	5	state, by failing to disclose the fact you were under investigation by this Board.
÷	6	C. Use of Firearms in Treatment of Patients. Bernzott shall totally refrain from
	7	utilizing any firearms in connection with his treatment of patients.
	8	D. Compliance with Agreement. If Bernzott fails to comply with any term or
	9	condition of this MOA, his physician's license shall be automatically suspended. If
	10	his license is suspended under this paragraph, he will be entitled to a hearing
	11	regarding the issue of the suspension. If Bernzott's license is suspended, he will
	12	continue to be responsible for all license requirements pursuant to AS 08.64.
	13	E. <u>Good Faith</u> . All parties agree to act in good faith in carrying out the stated
	14	intentions of this MOA.
	15	F. Address of the Board. All communication concerning compliance with this
	16	MOA shall be addressed to:
	17	Colin Matthews, Investigator
	18	550 West 7th Avenue, Suite 1500 Anchorage, Alaska 99501
501	19	Telephone Number (907) 269.8179 Fax Number (907) 269.8195
ent 195	20	IT IS HEREBY FURTHER ORDERED that this Order shall take effect
ce relopment ensing -269-8195	21	immediately upon its adoption by the Board and is a public document of the Board
laska Commerc mic Deve ional Lice orage, Al	22	and the State of Alaska. The State may provide a copy of it to any person or entity.
ch ation P	23	
te Of ent O Ecor ccupé 3160	24	
State Of Department O Community And Ecol Division of Occup 550 West 7th Avenue, An Phone 907-269-8160	25	EDGAR BLATCHFORD, COMMISSIONER
Der nunity /ision 'th Av	26	
Comn Div /est 7 'hone	27	By: Gary Veres, Chief Investigator for Richard Urion, Director
50 V -	28	Division of Occupational Licensing
L)	29	
	30	
	31	
	32	5
	33	5
	34	5
		1.1 A second se second second sec

•

, i

1 2 I, Michael R. Bernzott, have read the MOA, understand it, and agree to be bound by its terms and conditions. 3 DATED: 3 JAN 2005 M.K. Borgothins 4 5 SUBSCRIBED AND SWORN TO before me this \_\_\_\_\_\_ day of 6 2005 at Chardler NUANU 7 8 Notary Public in and for <u>/</u> **OFFICIAL SEAL** 9 FERNANDO ZAPATA My Commission expires // Notary Public - State of Arizona 10 MARICOPA COUNTY My Comm. Expires Jan. 11, 2005 11 12 ORDER 13 The State Medical Board for the State of Alaska, having examined the 14 MOA and Proposed Decision and Order, Case No: 2800.95.019, 2800.01.081 and 15 2800.03.043, adopted the MOA and Decision and Order in this matter on 16 2005. 17 18 DATED this 20th day of Januar 2005, at 19 550 West 7th Avenue, Anchorage, Alaska 99501 Alaska. Phone 907-269-8160 Fax 907-269-8195 20 21 STATE MEDICAL BOARD 22 23 Bv: 24 Chairperson 25 26 27 Bernz10/CM 28 29 30 31 32 6 33 34 6

Community And Economic Development Division of Occupational Licensing

Department Of Commerce

State Of Alaska

Created by trial version of DocuFreezer